



## Market Rule Amendment Proposal

### PART 1 – MARKET RULE INFORMATION

Identification No.:	MR-00429		
Subject:	General		
Title:	Minor Amendment Omnibus 2017		
Nature of Proposal:	<input checked="" type="checkbox"/> Alteration	<input checked="" type="checkbox"/> Deletion	<input type="checkbox"/> Addition
Chapter:	Various	Appendix:	Various
Sections:	Various		
Sub-sections proposed for amending:	Various		

### PART 2 – PROPOSAL HISTORY

Version	Reason for Issuing	Version Date
1.0	Draft for Technical Panel Review	February 7, 2017
2.0	Publish for Stakeholder Review and Comment	February 16, 2017
3.0	Submitted for Technical Panel Vote	March 14, 2017
4.0	Recommended by Technical Panel; Submitted for IESO Board Approval	March 21, 2017
5.0	Approved by IESO Board	April 12, 2017
Approved Amendment Publication Date:	April 13, 2017	
Approved Amendment Effective Date:	May 5, 2017	

### PART 3 – EXPLANATION FOR PROPOSED AMENDMENT

Provide a brief description of the following:

- The reason for the proposed amendment and the impact on the *IESO-administered markets* if the amendment is not made.
- Alternative solutions considered.
- The proposed amendment, how the amendment addresses the above reason and impact of the proposed amendment on the *IESO-administered markets*.

#### Summary

The IESO proposes a number of minor amendments to the market rules. These amendments correct cross-references, remove redundancy and clarify procedures related to the de-registration of registered facilities. The amendments relate to a number of different areas of the market rules, and for efficiency, have been submitted as a single “omnibus” package.

#### Background

Minor market rule amendments are defined in Chapter 11 of the market rules as:

“....an amendment to the market rules to correct a typographical or grammatical error, or to effect a change of a non-material procedural nature;”

A discussion of each amendment is below.

#### Discussion

##### Amend Incorrect Cross-References

Chapter 3, section 5.3.3 (section 5 - Confidentiality):

- Replace the cross-reference to section 5.1.3.5A, which does not exist in Chapter 3, with a reference to section 5.3.1.5A. In 2003, the IESO amended section 5.3.3 of the market rules via MR-00217-R00: Market Rule Amendments to Support IMO Board Process for Hearings - Information Confidentiality. The cross reference within section 5.3.3 was intended to be section 5.3.1.5A which was created via MR-00217-R00.

Chapter 9, section 4.8.1.6 (section 4.8: Additional Non-Hourly Settlement Amounts):

- Replace the cross-reference to section 2.1A.12.2 (a) with a reference to section 2.1A.14. In 2010, the manual settlements process specified in section 2.1A.12 was deleted as part of MR-00371-R00: Changes to Generation Station Service Rebate (GSSR). This process was replaced with the recovery of a rebate through a non-hourly settlement amount detailed in section 2.1A.14.

##### Clarify the De-Registration of Facilities Procedure

Chapter 7 section 2.4 De-registration of Facilities:

Section 2.4 of Chapter 7 details the process for a market participant to de-register a registered facility. Where a market participant wishes to de-register a registered facility, the IESO shall determine and

**PART 3 – EXPLANATION FOR PROPOSED AMENDMENT**

notify the market participant as to whether a technical assessment for the impact of removal from service is required. Instances where a technical assessment determines that a facility's removal from service will have an unacceptable impact are detailed in section 2.4.5. In cases where the technical assessment determines that the removal from service will not or is not likely to have an unacceptable impact, the process for de-registration is duplicated in sections 2.4.3/2.4.4 and a second time in section 2.4.6. This duplication has recently created confusion for a market participant seeking to de-register a facility.

The IESO proposes to remove this redundancy for those instances when a technical assessment is not required or the assessment determines that there will be no unacceptable impacts by:

- Consolidating the market rules language into existing section 2.4.4 using the more detailed language within sections 2.4.6.1 and 2.4.6.2; and
- Deleting section 2.4.6 in its entirety.

Consequential to the above amendment, it is proposed to delete reference to section 2.4.6.2(a) in section 2.4.7 of Chapter 7, since the redundant section will be deleted.

This proposed amendment will also clarify in section 2.4.3 of Chapter 7, that the date of de-registration filed by the market participant is at all times subject to the date on which the applicable transmitter can disconnect the facility. Therefore the date submitted by the market participant signifies the earliest date that the IESO could de-register the registered facility. The IESO will only de-register the registered facility when the facility has been safely disconnected by the transmitter.

**PART 4 – PROPOSED AMENDMENT****Incorrect Cross References****Chapter 3****5.3 Exceptions**

- 5.3.3 Prior to making any disclosure pursuant to section 5.3.1.4, 5.3.1.5 or [5.1.3.5A](#)~~5.3.1.5A~~, a person being requested or demanded to disclose the *confidential information* shall advise the person affected by the request or demand as soon as reasonably practicable so as where possible to permit the affected person to challenge such request or demand or seek terms and conditions in respect of any such disclosure.
- .....

## Chapter 9

### 4.8 Additional Non-Hourly Settlement Amounts

- 4.8.1 The *IESO* shall, at the end of each *energy market billing period*, recover from *market participants*, on a pro-rata basis across all allocated quantities of *energy* withdrawn at all *RWMs* and *intertie metering points* during all *metering intervals* and *settlement hours* within that *energy market billing period*, the following amounts:
- 4.8.1.1 any compensation paid in that *energy market billing period* by the *IESO* pursuant to section 5.3.4 of Chapter 4;
  - 4.8.1.2 any compensation paid in that *energy market billing period* by the *IESO* pursuant to section 5.3.4 of Chapter 5;
  - 4.8.1.3 any out-of-pocket expenses paid in that *energy market billing period* by the *IESO* pursuant to section 6.7.4 of Chapter 5;
  - 4.8.1.4 any compensation paid in that *energy market billing period* by the *IESO* pursuant to section 8.4A.9 of Chapter 7;
  - 4.8.1.5 any costs incurred in that *energy market billing period* by the *IESO* to acquire *emergency energy* pursuant to section 2.3.3A of Chapter 5;
  - 4.8.1.6 any reimbursement paid in that *energy market billing period* by the *IESO* pursuant to section 2.1A. [1412.2\(a\)](#);

### De-registration of Facilities Procedure

## Chapter 7

### 2.4 De-registration of Facilities

- 2.4.1 A *market participant* that wishes to de-register a *registered facility*, other than a *boundary entity*, which is being removed from service shall file with the *IESO* a notice of request to de-register in such form as may be specified by the *IESO*; provided, however, that a *market participant* shall not be entitled to file such a notice if it is no longer the beneficial owner of the *registered facility*.
- 2.4.2 Within ten *business days* of the date of receipt of the notice referred to in section 2.4.1, the *IESO* shall notify the *market participant* and the *transmitter* to whose *transmission system* the *registered facility* is *connected* as to whether the *IESO* requires a technical assessment of the impact of the removal from service of the

*registered facility* on the *reliability* of the *IESO-controlled grid* and, if so, of the expected date of completion of such assessment. Such date shall not be more than 45 days from the date of issuance by the *IESO* of such notice or such later date as may be agreed between the *IESO* and the *market participant*.

2.4.3 Where the notice issued by the *IESO* pursuant to section 2.4.2 indicates that the *IESO* does not require a technical assessment or where the *IESO* conducts a technical assessment and concludes the removal from service of the *registered facility* will not or is not likely to have an unacceptable impact on the *reliability* of the *IESO-controlled grid*, the *market participant* shall file with the *IESO* a notice setting forth the date upon which the *market participant* wishes the *IESO* to de-register the *registered facility*. Such date shall not be less than five *business days* from the date of receipt by the *market participant* of the notice issued by the *IESO* pursuant to section 2.4.2 and, as applicable, shall be subject to the date on which the *registered facility* has been disconnected as confirmed by the relevant transmitter to the *IESO*.

2.4.4 Where section 2.4.3 applies, the *IESO* shall:

2.4.4.1 if the *registered facility* is not connected to the *IESO-controlled grid*, de-register the *registered facility* promptly upon completion of the technical assessment if applicable, or as of the date specified in the notice filed by the *market participant* pursuant to section 2.4.3, whichever is the later, and shall so notify the *market participant*, the metering service provider for the metering installation that relates to the *registered facility*, and any *market participant* within which the *registered facility* is embedded; or

2.4.4.2 if the *registered facility* is connected to the *IESO-controlled grid*:

- a. issue to the relevant *transmitter* a *disconnection order* directing the relevant *transmitter* to *disconnect* the *registered facility* from the *IESO-controlled grid* on the date specified in the *disconnection order* which shall be no earlier than the date specified in the notice filed by the *market participant* pursuant to section 2.4.3; and
- b. de-register the *registered facility* as of the date on which the relevant *transmitter* confirms to the *IESO* that the *registered facility* has been *disconnected* from the *IESO-controlled grid*.  
and shall notify the *market participant* accordingly.

2.4.5 Where the *IESO* conducts the technical assessment referred to in section 2.4.2 and concludes that the removal from service of the *registered facility* will or is likely to have an unacceptable impact on the *reliability* of the *IESO-controlled grid*, the *IESO* and the *market participant* shall commence the process described in sections 9.6 and 9.7 and in section 4.8 of Chapter 5 with a view to concluding a *reliability must-run contract* for that *registered facility*. The *registered facility* shall not be removed from service during the course of such process.

2.4.6 ~~Where the IESO conducts the technical assessment referred to in section 2.4.2 and concludes that the removal from service of the registered facility will not or is not likely to have an unacceptable impact on the reliability of the IESO-controlled grid, the IESO shall: [Intentionally left blank – section deleted]~~

2.4.6.1 ~~if the registered facility is not connected to the IESO-controlled grid, de-register the registered facility promptly upon completion of the technical assessment or as of the date specified in the notice filed by the market participant pursuant to section 2.4.3, whichever is the later, and shall so notify the market participant, the metering service provider for the metering installation that relates to the registered facility, and any market participant within which the registered facility is embedded; or [Intentionally left blank – section deleted]~~

2.4.6.2 ~~if the registered facility is connected to the IESO-controlled grid: [Intentionally left blank – section deleted]~~

- a. ~~issue to the relevant transmitter a disconnection order directing the relevant transmitter to disconnect the registered facility from the IESO-controlled grid on the date specified in the disconnection order which shall be no earlier than the date specified in the notice filed by the market participant pursuant to section 2.4.3; and~~
- b. ~~de-register the registered facility as of the date on which the relevant transmitter confirms to the IESO that the registered facility has been disconnected from the IESO-controlled grid, and shall notify the market participant accordingly.~~

2.4.7 A transmitter that receives a disconnection order from the IESO pursuant to section 2.4.4.2(a) ~~or 2.4.6.2(a)~~ shall:

2.4.7.1 subject only to section 3.4.1.5 of Chapter 5 and to the completion of any operating and decommissioning procedures contemplated in the connection agreement applicable to the registered facility, disconnect the registered facility from the IESO-controlled grid on the date and at the time specified in the disconnection order; and

2.4.7.2 promptly inform the IESO once the registered facility has been disconnected from the IESO-controlled grid.

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## PART 5 – IESO BOARD DECISION RATIONALE

These amendments correct cross-references, remove redundancy and clarify procedures related to the de-registration of registered facilities.

**PART 5 – IESO BOARD DECISION RATIONALE**

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