

This page sets out the instructions for completing the Prescribed Form – Evidence of Indigenous Community Participation.

All capitalized terms used in these instructions and the Prescribed Form – Evidence of Indigenous Community Participation, unless otherwise stated, have the meanings ascribed to them in the LT1 RFP.

INSTRUCTIONS APPLICABLE TO ALL PRESCRIBED FORMS:

- a. The first page of a Prescribed Form should be marked with the name of the Long-Term Reliability Project that is the subject of the Proposal. The Proponent should use the name given to the Long-Term Reliability Project in the Prescribed Form – Proponent Information, Declarations and Workbook.
- b. This instruction page is not required to be submitted as part of the completed Prescribed Form.
- c. The Prescribed Form is required to be submitted electronically via email to the IESO at LT.RFP@ieso.ca.
- d. Information provided in each Prescribed Form should be consistent with the information provided in the Proposal.
- e. Where the Prescribed Form has multiple pages, the pages of the Prescribed Form should be kept together in the Proposal in sequential order.
- f. Where a blank field for a section/page reference is provided in a Prescribed Form, enter the section/page reference of the Proposal where the substantiating evidence for that particular item can be found.
- g. Apart from the completion of any blanks, drop down lists, check boxes or similar uncompleted information in a Prescribed Form, no amendments may be made to the wording of a Prescribed Form.
- h. Each Prescribed Form must be completed in its entirety. Fields marked <if applicable> must be completed if applicable to the Proposal. If not applicable, they should be marked " Not Applicable".
- i. If a signature is required for a Prescribed Form, the Prescribed Form must be signed by a person with authority to bind the Proponent. The Prescribed Form may be printed, signed and scanned, or may be signed digitally through Adobe (Digital ID, or Fill and Sign), Apple Preview or DocuSign.
- j. With the exception of this instruction page, instructions within a Prescribed Form will be enclosed in brackets.

INSTRUCTIONS SPECIFIC TO THIS PRESCRIBED FORM – EVIDENCE OF INDIGENOUS COMMUNITY PARTICIPATION:

The receipt by the IESO of an attestation in the form set out in of Exhibit C and the IESO's use thereof in the LT1 RFP evaluation process does not reflect or imply any acceptance or endorsement by the IESO of the contents of the attestations made therein, and does not reflect the IESO's views on the contents thereof.

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Section 1 – Information of the Proponent and the Long-Term Reliability Project

Unique Project ID of the Long-Term Reliability Project: *<insert Unique Project ID>*

Name of the Long-Term Reliability Project: *<Enter name of the Long-Term Reliability Project>*

Legal name of the Proponent: *<Enter legal name of the Proponent>*

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Section 2 – Proponent Indigenous Participation Level for Rated Criteria Points under Section 4.3(a) of the LT1 RFP

The Proponent is seeking to attain Rated Criteria Points under Section 4.3(a) of the LT1 RFP and the Proponent Indigenous Participation Level is equal to or more than a 10%:

Yes, and the Indigenous Community(ies) whose Economic Interest is included in the Proponent Indigenous Participation Level is (or are) (check all that apply):

a First Nation in Ontario that is a “band” as defined in the Indian Act, RSC 1985, c I-5;

a Person, other than a ~~Natural~~natural Person, that has been determined by the Government of Ontario (for purposes of this LT1 RFP or otherwise) to represent the collective interests of a community that is composed of Indigenous ~~Natural~~natural Persons in Ontario; OR

a Métis Community.

Name(s) of the Indigenous Community(ies) holding the Economic Interest in the Proponent that is included in the Proponent Indigenous Participation Level:

<Insert name(s) of the Indigenous Community(ies)>

Percentage of Economic Interest in the Proponent held by each Indigenous Community(~~ies~~) in the Proponent, as of the Proposal Submission Deadline:

<Insert percentage(s)>

The Proponent has submitted:

An organizational chart of the Proponent, together with evidence of applicable securities holdings reflecting the Economic Interest held by the Indigenous Community(ies) via copies of the securities register(s) of the applicable Person(s) (including any intermediary entities between the Indigenous Community and the Proponent), in Exhibit A to this Prescribed Form;

AND

A statutory declaration of an officer of the Proponent in the form set out in Exhibit B to this Prescribed Form.

Section 3 – Local Indigenous Community Participation for Rated Criteria Points under Section 4.3(b) of the LT1 RFP

The Project Site is located in whole or in part on Indigenous Lands or lands within the treaty area, or the established or asserted traditional territory or homeland of an Indigenous Community whose Economic Interest in the Proponent is included in the Proponent Indigenous Participation Level: Yes

The Proponent is seeking to attain Rated Criteria Points under Section 4.3(b) of the LT1 RFP ~~and has submitted:~~

Yes, and [has submitted](#) an attestation of an individual with the authority to bind such Indigenous Community in the form set out in Exhibit C to this Prescribed Form.

OR

Yes, and an attestation is not required because the Project Site is located in whole or in part on Indigenous Lands.

I hereby confirm that I am an individual with the authority to bind the Proponent and that, if applicable, by signing this form using electronic signature, I agree to the content, terms and conditions set out in the document on behalf of the Proponent.

PROPONENT NAME: _____

Per: _____

Print Name:

Print Title:

Date Signed:

EXHIBIT A
ORGANIZATIONAL CHART AND SECURITIES REGISTERS

Note: Attach organizational chart and securities registers.

**EXHIBIT B
STATUTORY DECLARATION**

STATUTORY DECLARATION IN THE MATTER OF the Proposal for <insert legal name of the Proponent> (the “**Proponent**”), under the IESO’s LT1 RFP.

Capitalized terms not defined herein have the meanings ascribed to them in the LT1 RFP.

I, <insert name of declarant>, of the <insert City/Town/Region etc.> of <insert name of City/Town/Region etc.>, in <insert name of Province or State> DO SOLEMNLY DECLARE, on behalf of the Proponent, without personal liability, the following information:

1. I am the / an <insert office held, e.g. president, director, etc.> of the Proponent and have knowledge of the matters herein described.
2. The organizational chart(s) and corresponding securities register(s) appended as Exhibit A to the Prescribed Form – Criteria Evidence of Indigenous Community Participation are accurate and complete in all material respects.

AND I MAKE THIS SOLEMN DECLARATION conscientiously believing it to be true, and knowing that it is of the same force and effect as if made under oath and by virtue of the *Canada Evidence Act*.

| | |
|--|---|
| Name of Declarant <u><insert name of declarant></u> | Declared before me at <u><insert City, Town, Region, etc.></u> of <u><insert name of City, Town, Region, etc.></u> in the [Province/State] of <u><insert name of [Province>/State]</u> this <u> </u> day of <u> </u> , 20 |
| Signature of Declarant | Name of Commissioner of Oaths, etc. <u><insert name of Commissioner of Oaths, etc.></u> |
| <Statutory declarations must be solemnly declared and signed before commissioners of oaths or similar officials (e.g. notary public).> | Signature of Commissioner of Oaths, etc. |

EXHIBIT C ATTESTATION IN RESPECT OF A LOCAL INDIGENOUS COMMUNITY

ATTESTATION IN THE MATTER OF the Proposal under the IESO's LT1 RFP for <insert legal name of the Proponent> (the "**Proponent**"), in relation to the following Long-Term Reliability Project:

Name of the Long-Term Reliability Project:

Unique Project ID of the Long-Term Reliability Project:

Technology of the Long-Term Reliability Project:

Maximum Contract Capacity of the Long-Term Reliability Project (in MW):

Legal description and/or GPS coordinates of the Project Site:

Capitalized terms not defined herein have the meanings ascribed to them in the LT1 RFP.

I, <insert name of attestor>, as representative of <insert name of Indigenous Community> located in <insert name of City/Town/Region etc.> of Ontario attest, on behalf of <insert name of Indigenous Community> the following information:

1. I am a duly elected or appointed official who represents the interests of <insert name of Indigenous Community> and have the authority to bind the Indigenous Community for the purposes of the subject matter of this attestation.
2. The Project Site that the Long-Term Reliability Project is proposed to be located on (~~each~~ as described in the table above on this attestation form) includes lands that are **[select one or multiple] [within <insert name of Indigenous Community>'s traditional territory] or [within <insert name of Indigenous Community>'s homeland] or [the subject of a treaty signed with ~~or are the subject of a treaty signed with~~ <insert name of Indigenous Community>].**

[Remainder of page intentionally left blank. Signature page follows.]

I confirm that I make this attestation conscientiously believing it to be true. I acknowledge and agree that the IESO may rely on this attestation for purposes of the LT1 RFP.

INDIGENOUS COMMUNITY:

Signature:

Print Name:

Print Title:

(I have authority to bind the Indigenous Community in respect of this attestation)

Date Signed:

WITNESS

Signature:

Print Name:

Print Title:

Date Signed:
