

This page sets out the instructions for completing the Prescribed Form – RFQ Applicant Qualifying Experience and Declarations.

All capitalized terms used in these instructions and the Prescribed Form – RFQ Applicant Information and Declarations, unless otherwise stated, have the meanings ascribed to them in the LT1 RFQ.

Instructions applicable to all Prescribed Forms:

- a. This instruction page is not required to be submitted as part of the completed Prescribed Form.
- b. The Prescribed Form is required to be submitted electronically via email to the IESO at LT.RFP@ieso.ca.
- c. Information provided in each Prescribed Form should be consistent with the information provided elsewhere in the Qualification Submission.
- d. Where the Prescribed Form has multiple pages, the pages of the Prescribed Form should be kept together in the Qualification Submission in sequential order.
- e. Where a blank field for a section/page reference is provided in a Prescribed Form, enter the section/page reference of the Qualification Submission where the substantiating evidence for that particular item can be found.
- f. Apart from the completion of any blanks, drop down lists, check boxes or similar uncompleted information in a Prescribed Form, no amendments may be made to the wording of a Prescribed Form.
- g. Each Prescribed Form must be completed in its entirety, except for fields or sections that are specified as not applicable to the Qualification Submission. Fields marked <if applicable> must be completed if applicable to the Qualification Submission.
- h. If a signature is required for a Prescribed Form, the Prescribed Form must be signed by a person with authority to bind the RFQ Applicant. The Prescribed Form may be printed, signed and scanned, or may be signed digitally through Adobe (Digital ID, or Fill and Sign), Apple Preview or DocuSign.
- i. With the exception of this instruction page, instructions within a Prescribed Form will be enclosed in brackets.



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**Prescribed Form – RFQ Applicant
Qualifying Experience and Declarations**
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Capitalized terms not defined herein have the meanings ascribed to them in the LT1 RFQ.

Section 1 – Team Member Mandatory Requirements

Select only one of the following Team Member Mandatory Requirements for evaluation of the RFQ Applicant and for which the RFQ Applicant is seeking to qualify and complete the corresponding Section 1.A or Section 1.B below, as applicable:

- Large-Scale Team Member Experience (Section 1.A below)
- Small-Scale Team Member Experience (Section 1.B below)

A. Large-Scale Team Member Experience

- (i) The RFQ Applicant must provide the names of at least two (2) Designated Team Members who have the following experience of least one (1) Qualifying Large-Scale Project:

Experience	Designated Team Members' Names	Qualifying Large-Scale Project's Name, Location and Nameplate Capacity
Planning		
Developing		
Financing		
Constructing		
Operating		

[Note: The Qualifying Large-Scale Project(s) that demonstrate Large-Scale Team Member Experience of Designated Team Members need not be the same as the Qualifying Large-Scale Project(s) that demonstrate the Large-Scale Entity Development Experience Threshold by the RFQ Applicant or its Control Group Member and the experience of the Designated Team Members does not need to have been earned with the RFQ Applicant or Control Group Member. If the RFQ Applicant desires to list multiple projects for which the Designated Team Member has the requisite experience and cannot fit such information in the table above, the table above may be replicated for additional projects in Part 6 of Appendix D to this Prescribed Form: RFQ Applicant Qualifying Experience and Declarations.]

- (ii) The Large-Scale Team Member Experience claimed for each Designated Team Member whose name has been listed above must be substantiated by an accompanying resume describing the applicable function, attached as Appendix A to this Prescribed Form.
- (iii) The RFQ Applicant must submit a statutory declaration of an officer of the RFQ Applicant in the form set out in Appendix B to this Prescribed Form.

B. Small-Scale Team Member Experience

- (i) The RFQ Applicant must provide the names of at least two (2) Designated Team Members who have the following experience of least one (1) Qualifying Small-Scale Project:

Experience	Designated Team Members' Names	Qualifying Small-Scale Project's Name, Location and Nameplate Capacity
Planning		
Developing		
Financing		
Constructing		
Operating		

[Note: The Qualifying Small-Scale Project(s) that demonstrate Small-Scale Team Member Experience of Designated Team Members need not be the same as the Qualifying Small-Scale Project(s) that demonstrate Small-Scale Entity Development Experience by the RFQ Applicant or its Control Group Member and the experience of the Designated Team Members does not need to have been earned with the RFQ Applicant or Control Group Member. If the RFQ Applicant desires to list multiple projects for which the Designated Team Member has the requisite experience and cannot fit such information in the table above, the table above may be replicated for additional projects in Part 6 of Appendix D to this Prescribed Form: RFQ Applicant Qualifying Experience and Declarations.]

- (ii) The Small-Scale Team Member Experience claimed for each Designated Team Member whose name has been listed above must be substantiated by an accompanying resume describing the applicable function, attached as Appendix A to this Prescribed Form.
- (iii) The RFQ Applicant must submit a statutory declaration of an officer of the RFQ Applicant in the form set out in Appendix B to this Prescribed Form.

Section 2 – Entity Development Experience

Is the RFQ Applicant submitting information for evaluation of its Entity Development Experience, for eligibility for the Expedited Process or otherwise?

Yes *[If yes, complete the balance of this Section 2.]*

No *[If no, the balance of this Section 2 may be left blank.]*

Select only one of the following Entity Development Experience Thresholds for evaluation of the RFQ Applicant and for which the RFQ Applicant is seeking to qualify:

- Large-Scale Entity Development Experience Threshold
- Small-Scale Entity Development Experience Threshold

A. Large-Scale Entity Development Experience Threshold

Number of Qualifying Large-Scale Projects to demonstrate the Large-Scale Entity Development Experience Threshold:	
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[Note: If more than two (2) Qualifying Large-Scale Projects are being listed, use the additional space provided in Part 6 of Appendix D to this Prescribed Form to add additional project information.]

- (i) The RFQ Applicants must provide the following information for two (2) or more Qualifying Large-Scale Projects to demonstrate the Large-Scale Entity Development Experience Threshold by the RFQ Applicant or its Control Group Member:

LS-Project 1

Project Name	
Is it an Electricity generation or storage facility?	Yes No
Nameplate capacity (in MW)	
Is it a Behind-the-Meter Facility?	Yes No
Date of commencement of construction	
Commercial operation date	
Jurisdiction	
Generation or storage technology	
Is it currently owned directly by the RFQ Applicant?	Yes No
Is it currently owned directly by a Control Group Member of the RFQ Applicant, or a Person Controlled by the Control Group Member?	Yes No
Date of transfer or transaction (where the RFQ Applicant indicates above that the Qualifying Large-Scale Project is not currently owned by the RFQ Applicant, a Control Group Member of the RFQ Applicant, or a Person Controlled by the Control Group Member)	

LS-Project 2

Project Name	
Is it an Electricity generation or storage facility?	Yes No
Nameplate capacity (in MW)	
Is it a Behind-the-Meter Facility?	Yes No

Date of commencement of construction	
Commercial operation date	
Jurisdiction	
Generation or storage technology	
Is it currently owned directly by the RFQ Applicant?	Yes No
Is it currently owned directly by a Control Group Member of the RFQ Applicant, or a Person Controlled by the Control Group Member?	Yes No
Date of transfer or transaction (where the RFQ Applicant indicates above that the Qualifying Large-Scale Project is not currently owned by the RFQ Applicant, a Control Group Member of the RFQ Applicant, or a Person Controlled by the Control Group Member)	

(ii) Please tick the box if the following information is true and correct:

The RFQ Applicant confirms that the RFQ Applicant or its Control Group Member has directly owned, or Controlled a Person that directly owned, all of the Qualifying Large-Scale Projects listed in Section 2(A)(i) above from the commencement of the construction of such Qualifying Large-Scale Project until at least one (1) year following the achievement of commercial operation of such Qualifying Large-Scale Project.

The RFQ Applicant has provided in Appendix D to this Prescribed Form documentary evidence of the achievement of commercial operation (or equivalent commissioning documentation) in respect of all Qualifying Large-Scale Projects listed in Section 2(A)(i) above from the applicable Governmental Authority or electric utility (which may be in the form of a letter, notice, publication or other statement from the applicable Governmental Authority or electric utility).

Where the documentary evidence of the achievement of commercial operation (or equivalent commissioning documentation) provided in Appendix D has not been issued in the name of the RFQ Applicant, the RFQ Applicant has submitted in Appendix D to the Prescribed Form an organizational chart, together with evidence of applicable securities holdings reflected in such organizational chart via copies of the securities register(s) of the applicable Person(s) (and any legal name-change documentation, if applicable) which confirms the required ownership by the RFQ Applicant or the Control Group Member of the Qualifying Large-Scale Project or its Control of the Person that owned the Qualifying Large-Scale Project, together with a statutory declaration of an officer of the RFQ Applicant in the form set out in Appendix C to this Prescribed Form.

Where Section 2(A)(i) above indicates that the Qualifying Large-Scale Project is not currently owned by the RFQ Applicant, the RFQ Applicant has provided in Appendix D evidence of the date of any such transfer or transaction (which documentation may be redacted for commercially sensitive or

confidential information) to confirm the required ownership or Control until at least one (1) year following the achievement of commercial operation of such Qualifying Large-Scale Project.

Where the Qualifying Large-Scale Project is situated outside of Ontario, the RFQ Applicant has provided in Appendix D a contact name, email and phone number of a reference person employed with the applicable Governmental Authority (or equivalent) or utility responsible for the procurement or regulation of the Qualifying Large-Scale Project, with knowledge of the Qualifying Large-Scale Project.

B. Small-Scale Entity Development Experience Threshold

Number of Qualifying Small-Scale Projects to demonstrate the Small-Scale Entity Development Experience Threshold:	
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[Note: If more than four (4) Qualifying Small-Scale Projects are being listed, use the additional space provided in Appendix D to this Prescribed Form to add additional project information.]

- (i) The RFQ Applicants must provide the following information for four (4) or more Qualifying Small-Scale Projects to demonstrate the Small-Scale Entity Development Experience Threshold by the RFQ Applicant or its Control Group Member:

SS-Project 1

Name	
Is it an Electricity generation or storage facility?	Yes No
Nameplate capacity (in MW)	
Date of commencement of construction	
Commercial operation date	
Jurisdiction	
Generation or storage technology	
Is it currently owned directly by the RFQ Applicant?	Yes No
Is it currently owned directly by a Control Group Member of the RFQ Applicant, or a Person Controlled by the Control Group Member?	Yes No

Date of transfer or transaction (where the RFQ Applicant indicates above that the Qualifying Small-Scale Project is not currently owned by the RFQ Applicant, a Control Group Member of the RFQ Applicant, or a Person Controlled by the Control Group Member)	
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SS-Project 2

Name	
Is it an Electricity generation or storage facility?	Yes No
Nameplate capacity (in MW)	
Date of commencement of construction	
Commercial operation date	
Jurisdiction	
Generation or storage technology	
Is it currently owned directly by the RFQ Applicant?	Yes No
Is it currently owned directly by a Control Group Member of the RFQ Applicant, or a Person Controlled by the Control Group Member?	Yes No
Date of transfer or transaction (where the RFQ Applicant indicates above that the Qualifying Small-Scale Project is not currently owned by the RFQ Applicant, a Control Group Member of the RFQ Applicant, or a Person Controlled by the Control Group Member)	

SS-Project 3

Name	
Is it an Electricity generation or storage facility?	Yes No
Nameplate capacity (in MW)	

Date of commencement of construction	
Commercial operation date	
Jurisdiction	
Generation or storage technology	
Is it currently owned directly by the RFQ Applicant?	Yes No
Is it currently owned directly by a Control Group Member of the RFQ Applicant, or a Person Controlled by the Control Group Member?	Yes No
Date of transfer or transaction (where the RFQ Applicant indicates above that the Qualifying Small-Scale Project is not currently owned by the RFQ Applicant, a Control Group Member of the RFQ Applicant, or a Person Controlled by the Control Group Member)	

SS-Project 4

Name	
Is it an Electricity generation or storage facility?	Yes No
Nameplate capacity (in MW)	
Date of commencement of construction	
Commercial operation date	
Jurisdiction	
Generation or storage technology	
Is it currently owned directly by the RFQ Applicant?	Yes No
Is it currently owned directly by a Control Group Member of the RFQ Applicant, or a Person Controlled by the Control Group Member?	Yes No

<p>Date of transfer or transaction (where the RFQ Applicant indicates above that the Qualifying Small-Scale Project is not currently owned by the RFQ Applicant, a Control Group Member of the RFQ Applicant, or a Person Controlled by the Control Group Member)</p>	
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(ii) Please tick the box if the following information is true and correct:

The RFQ Applicant confirms that the RFQ Applicant or its Control Group Member has directly owned, or Controlled a Person that directly owned, all of the Qualifying Small-Scale Projects listed in Section 2(B)(i) above from the commencement of the construction of such Qualifying Small-Scale Project until at least one (1) year following the achievement of commercial operation of such Qualifying Small-Scale Project.

The RFQ Applicant has provided Appendix D to this Prescribed Form documentary evidence of the achievement of commercial operation (or equivalent commissioning documentation) in respect of all Qualifying Small-Scale Projects listed in Section 2(B)(i) above from the applicable Governmental Authority or electric utility (which may be in the form of a letter, notice, publication or other statement from the applicable Governmental Authority or electric utility).

Where the documentary evidence of the achievement of commercial operation (or equivalent commissioning documentation) provided in Appendix D has not been issued in the name of the RFQ Applicant, the RFQ Applicant has submitted in Appendix D to the Prescribed Form an organizational chart, together with evidence of applicable securities holdings reflected in such organizational chart via copies of the securities register(s) of the applicable Person(s) (and any legal name-change documentation, if applicable) which confirms the required ownership by the RFQ Applicant or the Control Group Member of the Qualifying Small-Scale Project or its Control of the Person that owned the Qualifying Small-Scale Project, together with a statutory declaration of an officer of the RFQ Applicant in the form set out in Appendix B to this Prescribed Form.

Where Section 2(B)(i) above indicates that the Qualifying Small-Scale Project is not currently owned by the RFQ Applicant, the RFQ Applicant has provided in Appendix D evidence of the date of any such transfer or transaction (which documentation may be redacted for commercially sensitive or confidential information) to confirm the required ownership or Control until at least one (1) year following the achievement of commercial operation of such Qualifying Small-Scale Project.

Section 3 – Additional Representations, Warranties and Acknowledgements

1. The RFQ Applicant confirms that the Qualification Submission is complete in every respect and in compliance with the LT1 RFQ.
2. The RFQ Applicant acknowledges that any amendments made to the Prescribed Forms, whether on the face of such forms or contained elsewhere in its Qualification Submission, may result in the rejection of its Qualification Submission or otherwise may be taken into consideration in the evaluation of the Qualification Submission.

3. The RFQ Applicant has only engaged in communications for the Permitted Purposes and has not engaged in any communications for the Excluded Purposes.
4. The RFQ Applicant confirms the receipt of all of the Addenda issued by the IESO (if any).
5. The RFQ Applicant confirms that neither it, nor any Persons involved in the preparation of its Qualification Submission and/or required by the RFQ Applicant to successfully implement its Qualification Submission, has engaged in any activity or communication that would constitute a Conflict of Interest or has engaged in any activity or communication that results in collusion or a violation of any of the civil or criminal code provisions of the *Competition Act* (Canada).
6. The RFQ Applicant acknowledges that if its Qualification Submission does not comply with the requirements set out in the LT1 RFQ, the IESO may, without liability, cost or penalty, reject its Qualification Submission and not give any further consideration to its Qualification Submission.
7. The RFQ Applicant acknowledges that the IESO has reserved unto itself all of the rights specified in Section 4.9 of the LT1 RFQ and that these reserved rights are in addition to any other express rights or any of the other rights implied in the circumstances of the LT1 RFQ.

[Remainder of page intentionally left blank. Signature page follows.]



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**Prescribed Form – RFQ Applicant
Qualifying Experience and Declarations**
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I hereby confirm that I am an individual with the authority to bind the RFQ Applicant and that, if applicable, by signing this form using electronic signature, I agree to the content, terms and conditions set out in the document on behalf of the RFQ Applicant.

RFQ APPLICANT NAME: _____

Per: _____

Print Name:

Print Title:

Date Signed:

APPENDIX A

Resumes of Designated Team Members

[Note: Attach resumes of each Designated Team Member which substantiate and describe the components of Large-Scale Team Member Experience or Small-Scale Team Member Experience (as applicable) indicated for the Designated Team Member in Section 1 of this Prescribed Form.]

APPENDIX B

STATUTORY DECLARATION IN THE MATTER OF the Qualification Submission for

_____ (the "RFQ Applicant"), under the IESO's Request for Qualifications for the Procurement of Long-Term Reliability Services (the "LT1 RFQ").

Capitalized terms not defined herein have the meanings ascribed to them in the LT1 RFQ.

I, _____, of the _____ of _____, in

_____ DO SOLEMNLY DECLARE, on behalf of the RFQ Applicant, without personal liability, the following information:

1. I am the / an _____ of the RFQ Applicant and have knowledge of the matters herein described.
2. The statements and information contained in Section 1 of the Prescribed Form: RFQ Applicant Qualifying Experience and Declarations are true and correct in all material respects.
3. The individuals identified as Designated Team Members in Section 1 of the Prescribed Form: RFQ Applicant Qualifying Experience and Declarations are each duly appointed officers, directors or employees of the RFQ Applicant or a Control Group Member of the RFQ Applicant.
4. The resume or curriculum vitae of each Designated Team Member appended to the Prescribed Form: RFQ Applicant Qualifying Experience and Declarations presents accurately, in all material respects, the components of Large-Scale Team Member Experience or Small-Scale Team Member Experience (as applicable) described in such resume or curriculum vitae.

AND I MAKE THIS SOLEMN DECLARATION conscientiously believing it to be true, and knowing that it is of the same force and effect as if made under oath and by virtue of the *Canada Evidence Act*.

Name of Declarant	Declared before me at _____ of _____ in the [Province/State] _____ this ___ day of _____, 2022
Signature of Declarant	Name of Commissioner of Oaths, etc.
<Statutory declarations must be solemnly declared and signed before commissioners of oaths or similar officials (e.g. notary public).>	Signature of Commissioner of Oaths, etc.

APPENDIX C

STATUTORY DECLARATION IN THE MATTER OF the Qualification Submission for

_____ (the "RFQ Applicant"), under the IESO's Request for Qualifications for the Procurement of Long-Term Reliability Services (the "LT1 RFQ").

Capitalized terms not defined herein have the meanings ascribed to them in the LT1 RFQ.

I, _____, of the _____ of _____, in

_____ DO SOLEMNLY DECLARE, on behalf of the RFQ Applicant, without personal liability, the following information:

1. I am the / an _____ of the RFQ Applicant and have knowledge of the matters herein described.
2. The statements and information contained in Section 2 of the Prescribed Form: RFQ Applicant Qualifying Experience and Declarations are true and correct in all material respects.
3. The organizational chart(s) and corresponding securities register(s) appended as Appendix D to the Prescribed Form: RFQ Applicant Qualifying Experience and Declarations are accurate and complete in all material respects.

AND I MAKE THIS SOLEMN DECLARATION conscientiously believing it to be true, and knowing that it is of the same force and effect as if made under oath and by virtue of the *Canada Evidence Act*.

Name of Declarant	Declared before me at _____ of _____ in the [Province/State] _____ this ___ day of _____, 2022
Signature of Declarant	Name of Commissioner of Oaths, etc.
<Statutory declarations must be solemnly declared and signed before commissioners of oaths or similar officials (e.g. notary public).>	Signature of Commissioner of Oaths, etc.

APPENDIX D

Organizational Chart and Securities Registers and Other Project Information

[Note: Attach organizational chart and securities registers where applicable for each Qualifying Large-Scale Project or Qualifying Small-Scale Project where not currently directly owned by the RFQ Applicant. Also use this Appendix to add project information charts as in Section 2 of this Prescribed Form for any additional projects beyond the minimum number provided in the body of the Prescribed Form.]

Part 1 – Organizational Chart(s) and Securities Registers

LS-Project 1

LS-Project 2

SS-Project 1

SS-Project 2

SS-Project 3

SS-Project 4

Part 2 - Additional Project Listings (if applicable)

[Note: Replicate the chart below for any additional Qualifying Large-Scale Projects or Qualifying Small-Scale Projects (as applicable) that the RFQ Applicant seeks to utilize for Entity Development Experience and, where not currently directly owned by the RFQ Applicant, attach organizational chart(s) and securities registers as in Part 1 of this Appendix D.]

[LS/SS]-Project ●

Name	
Is it an Electricity generation or storage facility?	Yes No
Nameplate capacity (in MW)	
Date of commencement of construction	

Commercial operation date	
Jurisdiction	
Generation or storage technology	
Is it currently owned directly by the RFQ Applicant?	Yes No
Is it currently owned directly by a Control Group Member of the RFQ Applicant, or a Person Controlled by the Control Group Member?	Yes No
Date of transfer or transaction (where the RFQ Applicant indicates above that the Qualifying Small-Scale Project or Qualifying Small-Scale Project, as applicable, is not currently owned by the RFQ Applicant, a Control Group Member of the RFQ Applicant, or a Person Controlled by the Control Group Member)	

Part 3 - Qualifying Large-Scale Project outside of Ontario (if applicable)

[Note: Replicate the chart below for any additional Qualifying Large-Scale Projects situated outside of Ontario (as applicable) that the RFQ Applicant seeks to utilize for Entity Development Experience.]

[LS/SS]-Project ●

Name of the applicable Governmental Authority (or equivalent) or utility responsible for the procurement or regulation of the Qualifying Large-Scale Project, with knowledge of the Qualifying Large-Scale Project	
Name of the reference person	
Email of the reference person	
Phone number of the reference person	

Part 4 – Evidence of Commercial Operation or Commissioning

[Note: The RFQ Applicant to attach documentary evidence of the achievement of commercial operation (or equivalent commissioning documentation) in respect of all Qualifying Large-Scale Projects listed in Section 2(A)(i) or Qualifying Small-Scale Projects listed in Section 2(B)(i) above, as applicable, from the applicable Governmental Authority or electric utility (which may be in the form of a letter, notice, publication or other statement from the applicable Governmental Authority or electric utility)]

LS-Project 1

LS-Project 2

SS-Project 1

SS-Project 2

SS-Project 3

SS-Project 4

Part 5 – Evidence of Transfer or Transaction (if applicable)

[Note: Where the RFQ Applicant indicates that the Qualifying Large-Scale Project or Qualifying Small-Scale Project is not currently owned by the RFQ Applicant, the RFQ Applicant to provide evidence of the date of any such transfer or transaction (which documentation may be redacted for commercially sensitive or confidential information).]

LS-Project 1

LS-Project 2

SS-Project 1

SS-Project 2

SS-Project 3

SS-Project 4

Part 6 – Additional Information (if any)

[Note: RFQ Applicant may use this Part 6 to provide any information if the space provided for such information is not sufficient in the body of the Prescribed Form. The RFQ Applicant must indicate the section of the Prescribed Form to which such information relates. The RFQ Applicant may attach resumes of the Designated Team Members in this Part 6.]