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# **Guide to Documents Published in Baseline 42.1**

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**Issue 84.0**

**GUIDE**

**This guide details the documents *published* in  
Baseline 42.1 for December 4, 2019**

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This *market manual* may contain a summary of a particular *market rule*. Where provided, the summary has been used because of the length of the *market rule* itself. The reader should be aware; however, that where a *market rule* is applicable, the obligation that needs to be met is as stated in the *market rules*. To the extent of any discrepancy or inconsistency between the provisions of a particular *market rule* and the summary, the provision of the *market rule* shall govern.

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# 1. Introduction

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*Market rules* may be amended through the *market rule* amendment process. When an amendment is approved it will be incorporated into the next revision of the *market rule* chapters. The [Market Rules and Manuals Library](#) web page features all amendments approved by the *IESO Board* that become effective at the next baseline unless otherwise specified. Market documents that require change will also be revised at this time.

## 1.1 Overview

This guide describes the documents revised for Baseline 42.1 and published to the *IESO* website on December 4, 2019. It also lists the current title and issue number of all documents available on the Market Rules and Manuals Library and Technical Interface web pages.

The majority of the *market manuals* in this baseline is structured into one of eleven master documents:

1. Market Entry
2. Market Administration
3. Metering
4. Market Operations
5. Settlements
6. Technical Reference
7. System Operations
8. Commissioning (Obsolete)
9. Day-Ahead Commitment
10. Emergency Load Reduction Program (ELRP) (Obsolete)
11. Reliability Compliance
12. Demand Response Auction
13. Capacity Exports

*Market manuals* consolidates the procedures, standards and policies for an area of the market into one master document.

## 1.2 Conventions

The standard conventions followed are as follows:

- The word ‘shall’ denotes a mandatory requirement;
- Terms and acronyms used in this guide that are italicized have the meanings ascribed thereto in Chapter 11 of the “*market rules*”; and
- Double quotation marks are used to indicate titles of legislation, publications, forms and other documents.

– End of Section –

## 2. Baseline Changes

The highlights in section 2.2 are taken from the Baseline Plan that was posted for review and therefore do not have the standard conventions applied as per section 1.2.

This Baseline update may include:

- Amended *market rules*;
- New or amended *market manuals*;
- New or amended forms;
- New or amended guides;
- New or amended agreements;
- New or amended lists;
- Documents made obsolete;
- Documents that have been superseded
- Documents that are pending
- Documents that are deferred
- Documents that are withdrawn and
- Documents that remain in effect for a period of time.

### 2.1 Market Rule Amendments Approved by the IESO Board

Market Rule Amendment	Chapter Revised
MR-00438-R00 Selection of a mediator or arbitrator other than an IESO Dispute Resolution Panel member – Dispute Resolution	3, 11
The OEB's decision granted a stay on the following market rule amendments	
MR-00439-R00 Transitional Capacity Auction – Changes to Market Rule Definitions	11
MR-00439-R01 Transitional Capacity Auction – Participant Authorization and Facility Registration	2, 2A, 7
MR-00439-R02 Transitional Capacity Auction – Auction Parameters and Publications	7
MR-00439-R03 Transitional Capacity Auction – Energy Market Participation	3, 7
MR-00439-R04 Transitional Capacity Auction – Non-Performance Charges and Settlements	9
MR-00439-R05 Transitional Capacity Auction – Removal of DR Pilots and CBDR Sections	2, 2A, 7, 9

## 2.2 New or Amended Documents

This section provides the Baseline Plan that identifies all documents that were made available for participant review and have now been formally approved and published to their respective *IESO* web pages.

The Baseline Plan is prepared and posted as per the Baseline Schedule and is communicated through the *IESO* weekly bulletins. Participants were invited to review and provide comments on the revised documents. All documents listed in the plan are posted for review on the [Pending Changes – Documents](#) page.

With the exception of market rule amendments, documents are considered drafts and are subject to change until final review and approval on the Baseline publication date.

However, some documents may be subject to formal approval prior to the baseline date. This will be indicated in the plan as ‘issued in advance of’.

The descriptions of categories in the plan are as follows:

Category 1 – Information

Category 2 – Participants should review the changes as an update to procedures may be required.

Category 3 – Participant are advised to review, due to the potential impact of the change.

Changes to the baseline plan will be redlined and documents added after the review period will be appear in ‘Update to Baseline’ section.

– End of Section –

Document Title, ID#, Ver.	Intended Audience	Stakeholder History	Cat.	Description of Change
Market Rules				
Transitional Capacity Auction - Changes to Market Rule Definitions MR-00439-R00	All	<p>Market Rule Amendment MR-00439-R00 TCA - Changes to Market Rule Definitions. Approved by IESO Board August 28, 2019. The effective date for this market rule amendment proposal is October 15, 2019. Market Rule chapter was published November 20, 2019 in advance of Baseline 42.1.</p> <p>Further to the Ontario Energy Board's Decision and Order on a Motion to Stay the Operation of the Amendments to the Market Rules (November 25, 2019), market rule amendments for Chapters 2, 3, 7, 9, 11 and Appendix 2.2, dated October 15, 2019 concerning capacity auctions are currently inoperative pending a final determination in the Application (EB-2019-0242).</p>	3	<p><b>Reason For Change</b> Market Rules Amendment - MR-00439-R00 Chapter 11</p> <p><b>Description of Change</b> The changes to Chapter 11 – Definitions outlined below are being made to clearly define key aspects of the Transitional Capacity Auction, differentiate from previous demand response programs, and to retire definitions that will no longer be in use.</p> <p><b>Description of Impact</b> Chapter 11 is being updated to include new defined terms, update existing definitions and delete definitions.</p>
Transitional Capacity Auction - Participant Authorization and Facility Registration MR-00439-R01	All	Market Rule Amendment MR-00439-R01 TCA - Participant Authorization and Facility Registration. Approved by IESO Board - August 28, 2019. The effective date for this market rule amendment proposal is October	3	<p><b>Reason For Change</b> Market Rule Amendment MR-00439-R01 Chapter 2, 7. App 2 Chapter 2, Sections 1.2, 2.1, 3.1, 5.1, 5B, 7.1, Chapter 2 – Appendices, Chapter 7, 2.5.4, 18.1-18.4, 19.1-19.3, 19.6 (new)</p> <p><b>Description of Change</b> Sections 18.1 and 18.2 add some clarifications to existing language. Section 19.1</p>

Document Title, ID#, Ver.				
		<p>15, 2019. Market Rule chapter was published November 20, 2019 in advance of Baseline 42.1.</p> <p>Further to the Ontario Energy Board's Decision and Order on a Motion to Stay the Operation of the Amendments to the Market Rules (November 25, 2019), market rule amendments for Chapters 2, 3, 7, 9, 11 and Appendix 2.2, dated October 15, 2019 concerning capacity auctions are currently inoperative pending a final determination in the Application (EB-2019-0242).</p>		<p>adds a generator as eligible to be a capacity auction participant that can secure a capacity obligation. Section 19.6 is a new section that provides for the eligibility requirements for capacity generator resources.</p> <p><b>Description of Impact</b> This proposal also includes the prudential support framework for the TCA which is largely based on the DRA framework.</p>
<p>Transitional Capacity Auction - Auction Parameters and Publication MR-00439-R02</p>	<p>All</p>	<p>Market Rule Amendment MR-00439-R02 TCA - Auction Parameters and Publication. Approved by IESO Board - August 28, 2019. The effective date for this market rule amendment proposal is October 15, 2019. Market Rule chapter was published November 20, 2019 in advance of Baseline 42.1.</p> <p>Further to the Ontario Energy Board's Decision and Order on a Motion to Stay the Operation of the Amendments to the Market Rules (November 25, 2019), market rule amendments for</p>	<p>3</p>	<p><b>Reason For Change</b> Market Rule Amendment MR-00439-R02 Chapter 7. Ch. 7, 18.5-18.8. The TCA will determine capacity obligations for the obligation periods and the auction clearing price for successful capacity auction participants. For each obligation period, the IESO will establish a demand curve, and the auction offers will be stacked against the demand curve to determine the capacity obligations that minimize total cost while respecting the parameters of the TCA.</p> <p><b>Description of Change</b> Prior to each TCA, the IESO will establish and publish the demand curve parameters in a public, pre-auction report. The pre-auction report will also identify key milestones for participation and zonal constraints. The outcomes of the TCA will be included in both public reports available to the entire marketplace and private reports sent directly to capacity auction participants.</p> <p><b>Description of Impact</b> Most additions in the rule amendment proposal are defined term changes. Section</p>

Document Title, ID#, Ver.	Intended Audience	Stakeholder History	Cat.	Description of Change
		<p>Chapters 2, 3, 7, 9, 11 and Appendix 2.2, dated October 15, 2019 concerning capacity auctions are currently inoperative pending a final determination in the Application (EB-2019-0242).</p>		<p>18.5.2.4 has been removed as it is no longer required. In this section, the 'transitional capacity auction' defined terms are used to refer specifically to the first TCA to avoid confusion with the ongoing DRA.</p>
<p>Transitional Capacity Auction - Energy Market Participation MR-00439-R03</p>	<p>All</p>	<p>Market Rule Amendment MR-00439-R03 TCA - Energy Market Participation. Approved by IESO Board - August 28, 2019. The effective date for this market rule amendment proposal is October 15, 2019. Market Rule chapter was published November 20, 2019 in advance of Baseline 42.1.</p> <p>Further to the Ontario Energy Board's Decision and Order on a Motion to Stay the Operation of the Amendments to the Market Rules (November 25, 2019), market rule amendments for Chapters 2, 3, 7, 9, 11 and Appendix 2.2, dated October 15, 2019 concerning capacity auctions are currently inoperative pending a final determination in the Application (EB-2019-0242).</p>	<p>3</p>	<p><b>Reason For Change</b>                      Market Rule Amendment MR-00439-R03 Chapter 3, 7. Chapter 3 – 6.3, 6.3A, &amp; 6.5, Chapter 7 - 3.4, 18.9, 19.4, 19.5, 19.7 (new).</p> <p><b>Description of Change</b>                      Chapter 3 - reflect changes to defined terms and to show the shift from demand response programs to the Transitional Capacity Auction. Chapter 7 - defined terms have been updated to show the shift from demand response programs to the Transitional Capacity Auction. New sections have been added in Section 18.9 to further describe qualified capacity, and to provide more clarification on the transfer of auction capacity. Section 19.7 - Energy Market Participation for Capacity Generation Resources has been added. This section outlines the requirements for Market Participants with Capacity Generation Resources to participate in the energy market.</p> <p><b>Description of Impact</b>                      Capacity market participants with a capacity obligation will be required to deliver on their capacity obligation through participation in the energy market as an hourly demand resource, dispatchable load or non-committed generator. These resource types will be required to submit offers into the energy market (day-ahead and real-time) for every hour of the availability window.</p>
<p>Transitional Capacity Auction - Non-Performance Charges and Settlements</p>	<p>All</p>	<p>Market Rule Amendment MR-00439-R04 TCA - Non-Performance Charges and Settlements. Approved by IESO</p>	<p>3</p>	<p><b>Reason For Change</b>                      Market Rule Amendment MR-00439-R04 Chapter 9, Section 4.7J, 4.8.</p> <p><b>Description of Change</b></p>

Document Title, ID#, Ver.				
MR-00439-R04		<p>Board - August 28, 2019. The effective date for this market rule amendment proposal is October 15, 2019. Market Rule chapter was published November 20, 2019 in advance of Baseline 42.1.</p> <p>Further to the Ontario Energy Board's Decision and Order on a Motion to Stay the Operation of the Amendments to the Market Rules (November 25, 2019), market rule amendments for Chapters 2, 3, 7, 9, 11 and Appendix 2.2, dated October 15, 2019 concerning capacity auctions are currently inoperative pending a final determination in the Application (EB-2019-0242).</p>		<p>The rule changes evolve the settlement processes of the DRA into the TCA.</p> <p><b>Description of Impact</b>                      Minor changes have been proposed to reflect changes to defined terms and to show the shift from demand response programs to the Transitional Capacity Auction. A new sub-section was added (4.7J.2.1) to make a capacity market participant with a capacity generation resource subject to availability payments. Sub-sections were removed from 4.8.3 and 4.8.4 to remove references to the CBDR and the former DR Pilots program which no longer exist.</p>
Transitional Capacity Auction - Removal of DR Pilots and CBDR Sections MR-00439-R05	All	<p>Market Rule Amendment MR-00439-R05 TCA - Removal of DR Pilots and CBDR Sections. Approved by IESO Board - August 28, 2019. The effective date for this market rule amendment proposal is October 15, 2019. Market Rule chapter was published November 20, 2019 in advance of Baseline 42.1.</p> <p>Further to the Ontario Energy Board's Decision and Order on a Motion to Stay the Operation of</p>	3	<p><b>Reason For Change</b>                      Market Rule Amendment MR-00439-R05 Chapter 2, 7, 9. Appendix 2 Chapter 2 section 5A, Appendix 2.2, Chapter 7 sections 16, 17, Chapter 9 section 4.</p> <p><b>Description of Change</b>                      While reviewing the market rules for TCA Phase 1 changes, there were sections of the rules that were no longer necessary to keep, relating to the Capacity Based Demand Response (CBDR) program, and the former Demand Response Pilots program.</p> <p><b>Description of Impact</b>                      Updated to delete or change section removing references to the former Demand Response Pilots program and CBDR.</p>

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		<p>the Amendments to the Market Rules (November 25, 2019), market rule amendments for Chapters 2, 3, 7, 9, 11 and Appendix 2.2, dated October 15, 2019 concerning capacity auctions are currently inoperative pending a final determination in the Application (EB-2019-0242).</p>		
<p>Selection of a mediator or arbitrator other than an IESO Dispute Resolution Panel member - Dispute Resolution MR-00438-R00</p>	<p>All</p>	<p>MR-00438-R00 Selection of a mediator or arbitrator other than an IESO Dispute Resolution Panel member, Dispute Resolution. Approved by the IESO Board - August 28, 2019. The effective date for this market rule amendment proposal is October 15, 2019.</p> <p>Market Rule chapter was published November 20, 2019 in advance of Baseline 42.1.</p> <p>On November 26th, Market Rule chapters 3 and 11 were reverted to previous issues due to references of stayed MR-00439-R00 and MR-00439-R03. Chapters will be issued December 4, 2019 as part of BL42.1.</p>	<p>3</p>	<p><b>Reason For Change</b>                      Market Rule Amendment Chapter 3, 11. Chapter 3, Section 2.6, 2.7. One of the recommendation approved by the IESO Board (Dec 2018) was to "amend the Dispute Resolution provisions of the market rules to provide the market participant/applicant (in a dispute), with selection and veto rights as concerns the appointment of a mediator, arbitrator or panel of arbitrators from the list of Dispute Resolution Panel members (to the extent that this right does not already exist)."</p> <p><b>Description of Change</b>                      The changes are to chapters 3 and 11 of the market rules to enable participants in a dispute to appoint a mediator or arbitrator who is not a member of the IESO Dispute Resolution Panel. These market rule changes will supplement the existing provisions in chapter 3, section 2.1.3 that provide for parties to dispense with, supplement, or vary the application of all or any part of the certain provisions, including the mediator/arbitrator selection provisions, with the consent of the parties.</p> <p><b>Description of Impact</b>                      Conversation at the Advisory Group focused on the desire of market participants to change the process of selecting arbitrators and mediations for disputes with the IESO. The IESO raised no objections to this potential change, and this market rule amendment submission will codify the request into the Market Rules.</p>

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Guide to Documents Published in Baseline MDP_GDE_0002 83.1	All	This guide details the documents published in Baseline 42.1 on December 4, 2019.	1	<p><b>Reason For Change</b>                      This guide is issued at each baseline and provides a detailed listing of approved market rule amendment, market manuals, forms, technical and related documents.</p> <p><b>Description of Change</b>                      A detailed description of documents changing for this baseline can be viewed in the current Baseline Plan found on the Pending Changes - Documents page.</p> <p><b>Description of Impact</b>                      This guide summarizes all approved changes listed in the baseline plan and is published on the Market Rules and Manuals Library page.</p>
Capacity Auction				
Market Manual 12: Capacity Auctions Part 12.0: Capacity Auctions MAN-44 6.1	Demand Response; Generator	This manual was presented to stakeholders through the Meeting Ontario's Capacity Needs 2020-2024 Stakeholder Engagement ( <a href="http://www.ieso.ca/en/Sector-Participants/Engagement-Initiatives/Engagements/Meeting-Ontarios-Capacity-Needs-2020-2024">http://www.ieso.ca/en/Sector-Participants/Engagement-Initiatives/Engagements/Meeting-Ontarios-Capacity-Needs-2020-2024</a> ). The associated Market Rules that enable the changes for this Market Manual were approved by the IESO Board of Directors on August 28, 2019. Posted for review - September 5, 2109. To be issued October 15, 2019 in advance of BL42.1.  Further to the Ontario Energy Board's Decision and Order on a Motion to Stay the Operation of the Amendments to the Market	3	<p><b>Reason For Change</b>                      The change is to enable the December 2019 Capacity Auction ("Phase I Transitional Capacity Auction")</p> <p><b>Description of Change</b>                      Changes made throughout to enable expanded participation in the December 2019 Capacity Auction and other design element improvements for the capacity auction. Title changed from Demand Response Auction to Capacity Auctions.</p> <p><b>Description of Impact</b>                      The change enables the December 2019 Capacity Auction ("Phase I Transitional Capacity Auction")</p>

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		<p>Rules (November 25, 2019), market rule amendments for Chapters 2, 3, 7, 9, 11 and Appendix 2.2, dated October 15, 2019 concerning capacity auctions are currently inoperative pending a final determination in the Application (EB-2019-0242). Changes to this manual were withdrawn from BL42.1.</p>		
Connection Assessments				
<p>Market Manual 2: Market Administration Part 2.10: Connection Assessment and Approval MDP_PRO_0048 17.1</p>	<p>Connection Assessment Applicant; Demand Response</p>	<p>This manual was presented to stakeholders through the Meeting Ontario's Capacity Needs 2020-2024 Stakeholder Engagement (<a href="http://www.ieso.ca/en/Sector-Participants/Engagement-Initiatives/Engagements/Meeting-Ontarios-Capacity-Needs-2020-2024">http://www.ieso.ca/en/Sector-Participants/Engagement-Initiatives/Engagements/Meeting-Ontarios-Capacity-Needs-2020-2024</a>). The associated Market Rules that enable the changes for this Market Manual were approved by the IESO Board of Directors on August 28, 2019. Posted for review - September 5, 2109. To be issued October 15, 2019 in advance of BL42.1. Further to the Ontario Energy Board's Decision and Order on a Motion to Stay the Operation of the Amendments to the Market Rules (November 25, 2019),</p>	<p>2</p>	<p><b>Reason For Change</b> The change is to enable the December 2019 Capacity Auction ("Phase I Transitional Capacity Auction")</p> <p><b>Description of Change</b> Updated nomenclature to refer to reflect nomenclature that aligns with the December 2019 Capacity Auction (formerly the Demand Response Auction)</p> <p><b>Description of Impact</b> Nomenclature changes only - replaced terms from "demand response auction" to "capacity auction"</p>

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		market rule amendments for Chapters 2, 3, 7, 9, 11 and Appendix 2.2, dated October 15, 2019 concerning capacity auctions are currently inoperative pending a final determination in the Application (EB-2019-0242). Changes to this manual were withdrawn from BL42.1.		
Market Administration				
Market Manual 2: Market Administration: Part 2.1 Dispute Resolution MDP_PRO_0017 14.1	All	Changes resulting from MR-00438 which was reviewed by the Technical Panel and recommended for approval by the IESO Board at its next meeting. The change originated through the Advisory Group on IESO Governance and Decision-Making in which stakeholders informed 14 recommendations to enhance the transparency, inclusivity and consistency of IESO governance processes. Posted for review - September 5, 2109. To be issued October 15, 2019 in advance of BL42.1.	1	<p><b>Reason For Change</b> To provide more flexibility for the parties to a market rules dispute to select a qualified person who is not a member of the IESO Dispute Resolution Panel to mediate or arbitrate the dispute.</p> <p><b>Description of Change</b> To provide more flexibility for the parties to a market rules dispute to select a qualified person who is not a member of the IESO Dispute Resolution Panel to mediate or arbitrate the dispute. The parties will need to agree on the qualified person.</p> <p><b>Description of Impact</b> Change will provide flexibility for the parties to a market rules dispute to select a qualified person who is not a member of the IESO Dispute Resolution Panel to mediate or arbitrate the dispute.</p>
Market Entry				
Market Manual 1: Market Entry, Maintenance and Exit Part 1.1: Participant	Demand Response;	This manual was presented to stakeholders through the Meeting Ontario's Capacity Needs 2020-	2	<p><b>Reason For Change</b> The change is to enable the December 2019 Capacity Auction ("Phase I Transitional Capacity Auction").</p>

Document Title, ID#, Ver.	Intended Audience	Stakeholder History	Cat.	Description of Change
<p>Authorization, Maintenance and Exit MDP_PRO_0014 29.1</p>	<p>Generator</p>	<p>2024 Stakeholder Engagement (<a href="http://www.ieso.ca/en/Sector-Participants/Engagement-Initiatives/Engagements/Meeting-Ontarios-Capacity-Needs-2020-2024">http://www.ieso.ca/en/Sector-Participants/Engagement-Initiatives/Engagements/Meeting-Ontarios-Capacity-Needs-2020-2024</a>). The associated Market Rules that enable the changes for this Market Manual were approved by the IESO Board of Directors on August 28, 2019. Posted for review - September 5, 2109. To be issued October 15, 2019 in advance of BL42.1. Further to the Ontario Energy Board's Decision and Order on a Motion to Stay the Operation of the Amendments to the Market Rules (November 25, 2019), market rule amendments for Chapters 2, 3, 7, 9, 11 and Appendix 2.2, dated October 15, 2019 concerning capacity auctions are currently inoperative pending a final determination in the Application (EB-2019-0242). Changes to this manual were withdrawn from BL42.1.</p>		<p><b>Description of Change</b> New participant authorization types and nomenclature changes were made to transition from the Demand Response Auction to the December 2019 Capacity Auction.</p> <p><b>Description of Impact</b> This change allows new participant authorization types (Capacity Auction Participant, Capacity Market Participant) and retires the participant authorization type Demand Response Auction Participant.</p>
<p>Market Manual 1: Market Entry, Maintenance and Exit Part 1.2: Facility Registration, Maintenance and De-Registration MDP_PRO_0016</p>	<p>Demand Response; Generator</p>	<p>This manual was presented to stakeholders through the Meeting Ontario's Capacity Needs 2020-2024 Stakeholder Engagement (<a href="http://www.ieso.ca/en/Sector-Participants/Engagement-Initiatives/Engagements/Meeting-Ontarios-Capacity-Needs-2020-2024">http://www.ieso.ca/en/Sector-Participants/Engagement-Initiatives/Engagements/Meeting-Ontarios-Capacity-Needs-2020-2024</a>).</p>	<p>2</p>	<p><b>Reason For Change</b> The change is to enable the December 2019 Capacity Auction ("Phase I Transitional Capacity Auction")</p> <p><b>Description of Change</b> Renamed Section 6 to Capacity Auctions from Demand Response Auction and</p>

Document Title, ID#, Ver.	Intended Audience	Stakeholder History	Cat.	Description of Change
37.1		<p><a href="#">Initiatives/Engagements/Meeting-Ontarios-Capacity-Needs-2020-2024</a>). The associated Market Rules that enable the changes for this Market Manual were approved by the IESO Board of Directors on August 28, 2019. Posted for review - September 5, 2109. To be issued October 15, 2019 in advance of BL42.1.</p> <p>Further to the Ontario Energy Board's Decision and Order on a Motion to Stay the Operation of the Amendments to the Market Rules (November 25, 2019), market rule amendments for Chapters 2, 3, 7, 9, 11 and Appendix 2.2, dated October 15, 2019 concerning capacity auctions are currently inoperative pending a final determination in the Application (EB-2019-0242). Changes to this manual were withdrawn from BL42.1.</p>		<p>added subsection to enable capacity generation resources</p> <p><b>Description of Impact</b> This is to allow the transition from the Demand Response Auction to the December 2019 Capacity Auction.</p>
Market Manual 1: Market Entry, Maintenance & Exit Part 1.3: Identity Management Operations Guide IMP_GDE_0088 24.1	Demand Response; Generator	This manual was presented to stakeholders through the Meeting Ontario's Capacity Needs 2020-2024 Stakeholder Engagement ( <a href="http://www.ieso.ca/en/Sector-Participants/Engagement-Initiatives/Engagements/Meeting-Ontarios-Capacity-Needs-2020-2024">http://www.ieso.ca/en/Sector-Participants/Engagement-Initiatives/Engagements/Meeting-Ontarios-Capacity-Needs-2020-2024</a> ). The associated Market	1	<p><b>Reason For Change</b> The change is to reflect new roles for the December 2019 Capacity Auction ("Phase I Transitional Capacity Auction")</p> <p><b>Description of Change</b> Added new roles: capacity auction and capacity market participation. Added new contact (Capacity Auction Contact)</p> <p><b>Description of Impact</b></p>

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		<p>Rules that enable the changes for this Market Manual were approved by the IESO Board of Directors on August 28, 2019. Posted for review - September 5, 2109. To be issued October 15, 2019 in advance of BL42.1.</p> <p>Further to the Ontario Energy Board's Decision and Order on a Motion to Stay the Operation of the Amendments to the Market Rules (November 25, 2019), market rule amendments for Chapters 2, 3, 7, 9, 11 and Appendix 2.2, dated October 15, 2019 concerning capacity auctions are currently inoperative pending a final determination in the Application (EB-2019-0242). Changes to this manual were withdrawn from BL42.1.</p>		<p>This change enables the December 2019 Capacity Auction</p>
<p>Market Manual 2: Market Administration Part 2.20 Performance Validation IESO_REQ_0208 9.1</p>	<p>Connection Assessment Applicant; Generator; Transmitter; Wholesale Consumer</p>	<p>Posted for review on Pending Changes - October 9, 2019</p>	<p>3</p>	<p><b>Reason For Change</b> Additional experience. Updates to software used in IESO operating and planning decision making processes.</p> <p><b>Description of Change</b> Clarity provided when existing equipment is swapped between units. PSS/e and DSA model names have been updated.</p> <p><b>Description of Impact</b> Minimal changes.</p>

Document Title, ID#, Ver.	Intended Audience	Stakeholder History	Cat.	Description of Change
Operations				
Market Manual 4: Market Operations Part 4.2: Submission of Dispatch Data in the Real-Time Energy and Operating Reserve Markets MDP_PRO_0027 59.1	Demand Response; Generator	This manual was presented to stakeholders through the Meeting Ontario's Capacity Needs 2020-2024 Stakeholder Engagement ( <a href="http://www.ieso.ca/en/Sector-Participants/Engagement-Initiatives/Engagements/Meeting-Ontarios-Capacity-Needs-2020-2024">http://www.ieso.ca/en/Sector-Participants/Engagement-Initiatives/Engagements/Meeting-Ontarios-Capacity-Needs-2020-2024</a> ). The associated Market Rules that enable the changes for this Market Manual were approved by the IESO Board of Directors on August 28, 2019. Posted for review - September 5, 2109. To be issued October 15, 2019 in advance of BL42.1. Further to the Ontario Energy Board's Decision and Order on a Motion to Stay the Operation of the Amendments to the Market Rules (November 25, 2019), market rule amendments for Chapters 2, 3, 7, 9, 11 and Appendix 2.2, dated October 15, 2019 concerning capacity auctions are currently inoperative pending a final determination in the Application (EB-2019-0242). Changes to this manual were withdrawn from BL42.1.	2	<p><b>Reason For Change</b>                      The change is to enable the December 2019 Capacity Auction ("Phase I Transitional Capacity Auction")</p> <p><b>Description of Change</b>                      Revised sections 2.3 and 2.4.1 to describe the transition for the demand response auction to the December 2019 capacity auction</p> <p><b>Description of Impact</b>                      This enables the December 2019 capacity auction</p>

Document Title, ID#, Ver.	Intended Audience	Stakeholder History	Cat.	Description of Change
<p>Market Manual 4: Market Operations Part 4.3: Real-Time Scheduling of the Physical Markets IMP_PRO_0034 55.1</p>	<p>Demand Response; Generator</p>	<p>This manual was presented to stakeholders through the Meeting Ontario's Capacity Needs 2020-2024 Stakeholder Engagement (<a href="http://www.ieso.ca/en/Sector-Participants/Engagement-Initiatives/Engagements/Meeting-Ontarios-Capacity-Needs-2020-2024">http://www.ieso.ca/en/Sector-Participants/Engagement-Initiatives/Engagements/Meeting-Ontarios-Capacity-Needs-2020-2024</a>). The associated Market Rules that enable the changes for this Market Manual were approved by the IESO Board of Directors on August 28, 2019. Posted for review - September 5, 2109. To be issued October 15, 2019 in advance of BL42.1. Further to the Ontario Energy Board's Decision and Order on a Motion to Stay the Operation of the Amendments to the Market Rules (November 25, 2019), market rule amendments for Chapters 2, 3, 7, 9, 11 and Appendix 2.2, dated October 15, 2019 concerning capacity auctions are currently inoperative pending a final determination in the Application (EB-2019-0242). Changes to this manual were withdrawn from BL42.1.</p>	<p>2</p>	<p><b>Reason For Change</b> The change is to enable the December 2019 Capacity Auction ("Phase I Transitional Capacity Auction")</p> <p><b>Description of Change</b> Updated section 5.1.2 and 7.2 to describe requirements of HDR resources participating in a capacity auction.</p> <p><b>Description of Impact</b> The change enables the December 2019 Capacity Auction ("Phase I Transitional Capacity Auction")</p>
<p>Market Manual 7: System Operations Part 7.3: Outage Management</p>	<p>Demand Response;</p>	<p>This manual was presented to stakeholders through the Meeting Ontario's Capacity Needs 2020-</p>	<p>2</p>	<p><b>Reason For Change</b> The change is to enable the December 2019 Capacity Auction ("Phase I Transitional Capacity Auction")</p>

Document Title, ID#, Ver.	Intended Audience	Stakeholder History	Cat.	Description of Change
IMP_PRO_0035 38.1	Generator	2024 Stakeholder Engagement ( <a href="http://www.ieso.ca/en/Sector-Participants/Engagement-Initiatives/Engagements/Meeting-Ontarios-Capacity-Needs-2020-2024">http://www.ieso.ca/en/Sector-Participants/Engagement-Initiatives/Engagements/Meeting-Ontarios-Capacity-Needs-2020-2024</a> ). The associated Market Rules that enable the changes for this Market Manual were approved by the IESO Board of Directors on August 28, 2019. Posted for review - September 5, 2109. To be issued October 15, 2019 in advance of BL42.1. Further to the Ontario Energy Board's Decision and Order on a Motion to Stay the Operation of the Amendments to the Market Rules (November 25, 2019), market rule amendments for Chapters 2, 3, 7, 9, 11 and Appendix 2.2, dated October 15, 2019 concerning capacity auctions are currently inoperative pending a final determination in the Application (EB-2019-0242). Changes to this manual were withdrawn from BL42.1.		<p><b>Description of Change</b> Non-performance event management for HDR resources with a capacity obligation acquired through the Phase I TCA and testing procedures were updated for Phase I TCA.</p> <p><b>Description of Impact</b> The change enables the December 2019 Capacity Auction ("Phase I Transitional Capacity Auction")</p>
Market Manual 11: Reliability Compliance Part 11.1 Applicability Criteria for Compliance with NERC Reliability Standards and NPCC Criteria	All	Version 6.1: Posted on Pending Changes for review and comment - October 3, 2017. Version 6.2: Posted on Pending Changes for review and comment - November 15, 2017. Deferred from Baseline	2	<p><b>Reason For Change</b> Version 6.1: To add applicability guidance for NERC standards PRC-002-2, PRC-005-6, and VAR-002-4. Version 6.2; To clarify the requirements for the testing of Remedial Action Schemes (NERC Standard PRC-005-6).</p> <p><b>Description of Change</b></p>

Document Title, ID#, Ver.	Intended Audience	Stakeholder History	Cat.	Description of Change
IESO_GDE_0364 6.2		38.1. Deferred from Baseline 40.1. Deferred from Baseline 41.0. Deferred from Baseline 41.1. Deferred from Baseline 42.0 Deferred to Baseline: 43.0.		Version 6.1: Updated section 7 to incorporate guidelines for NERC standards PRC-002-2, PRC-005-6, and VAR-002-4. Version 6.2: Updated section 7 to clarify the requirements for NERC standard PRC-005-6.  <b>Description of Impact</b> Document content up-to-date.
Participant Technical Reference Manual				
Market Manual 6: Participant Technical Reference Manual IMO_MAN_0024 34.1	Demand Response; Generator	This manual was presented to stakeholders through the Meeting Ontario's Capacity Needs 2020-2024 Stakeholder Engagement ( <a href="http://www.ieso.ca/en/Sector-Participants/Engagement-Initiatives/Engagements/Meeting-Ontarios-Capacity-Needs-2020-2024">http://www.ieso.ca/en/Sector-Participants/Engagement-Initiatives/Engagements/Meeting-Ontarios-Capacity-Needs-2020-2024</a> ). The associated Market Rules that enable the changes for this Market Manual were approved by the IESO Board of Directors on August 28, 2019. Posted for review - September 5, 2109. To be issued October 15, 2019 in advance of BL42.1. Further to the Ontario Energy Board's Decision and Order on a Motion to Stay the Operation of the Amendments to the Market Rules (November 25, 2019), market rule amendments for Chapters 2, 3, 7, 9, 11 and Appendix 2.2, dated October 15, 2019 concerning capacity auctions	1	<b>Reason For Change</b> The change is to reflect changes to IT tools as a result of the December 2019 Capacity Auction ("Phase I Transitional Capacity Auction")  <b>Description of Change</b> The change is to reflect changes to IT tools as a result of the December 2019 Capacity Auction ("Phase I Transitional Capacity Auction")  <b>Description of Impact</b> Several figures and terms were updated in the manual

Document Title, ID#, Ver.	Intended Audience	Stakeholder History	Cat.	Description of Change
		are currently inoperative pending a final determination in the Application (EB-2019-0242). Changes to this manual were withdrawn from BL42.1.		
Settlements				
Market Manual 5: Settlements 5.4: Prudential Support MDP_PRO_0045 28.1	Demand Response; Generator	This manual was presented to stakeholders through the Meeting Ontario's Capacity Needs 2020-2024 Stakeholder Engagement ( <a href="http://www.ieso.ca/en/Sector-Participants/Engagement-Initiatives/Engagements/Meeting-Ontarios-Capacity-Needs-2020-2024">http://www.ieso.ca/en/Sector-Participants/Engagement-Initiatives/Engagements/Meeting-Ontarios-Capacity-Needs-2020-2024</a> ). The associated Market Rules that enable the changes for this Market Manual were approved by the IESO Board of Directors on August 28, 2019. Posted for review - September 5, 2109. To be issued October 15, 2019 in advance of BL42.1. Further to the Ontario Energy Board's Decision and Order on a Motion to Stay the Operation of the Amendments to the Market Rules (November 25, 2019), market rule amendments for Chapters 2, 3, 7, 9, 11 and Appendix 2.2, dated October 15, 2019 concerning capacity auctions are currently inoperative pending a final determination in the	2	<p><b>Reason For Change</b> The change is to enable the December 2019 Capacity Auction ("Phase I Transitional Capacity Auction")</p> <p><b>Description of Change</b> Updated description of demand response prudential support; this type of prudential is now applicable to all participants of a capacity auction</p> <p><b>Description of Impact</b> This change is to enable greater participation in the December 2019 Capacity Auction</p>

Document Title, ID#, Ver.	Intended Audience	Stakeholder History	Cat.	Description of Change
		Application (EB-2019-0242). Changes to this manual were withdrawn from BL42.1.		
Update to Baseline				
Market Manual 11: Reliability Compliance Part 11.0 Reliability Compliance Monitoring and Enforcement Overview MAN-103 0.1	Distributor; Generator; Transmitter; Market participants that own or operate bulk electric system or bulk power system elements	This new document has been introduced at the Reliability Standards Standing Committee meeting on September 16, 2019. Posted for review on Pending Changes - October 10, 2019.	1	<p><b>Reason For Change</b> This market manual is designed to provide market participants with an overview of the documents and processes applicable to monitoring and enforcing compliance with reliability standards in Ontario.</p> <p><b>Description of Change</b> This market manual is designed to provide market participants with an overview of the documents and processes applicable to monitoring and enforcing compliance with reliability standards in Ontario.</p> <p><b>Description of Impact</b> This document does not create new obligations, nor it introduces new tools. Its purpose is to provide better clarity with respect to the documents and processes applicable to monitoring and enforcing compliance with reliability standards in Ontario.</p>
Market Manual 11 Reliability Compliance Part 11.2 Ontario Reliability Compliance Program IESO_PRO_0874 3.1	Distributor; Generator; Transmitter; Market participants that own or operate bulk electric system or bulk power system elements	The nature of the changes included in this document have been discussed previously at the Reliability Standards Standing Committee meetings, most recently at its September 16, 2019 meeting. Posted for review on Pending Changes - October 10, 2019.	2	<p><b>Reason For Change</b> The entire document has been re-written and updated to reflect that the Ontario Reliability Compliance Program is a risk-based program designed and implemented by MACD to monitor compliance with reliability standards.</p> <p><b>Description of Change</b> The entire document has been re-written and updated to reflect that the Ontario Reliability Compliance Program is a risk-based program designed and implemented by MACD to monitor compliance with reliability standards.</p> <p><b>Description of Impact</b> Market participants should become familiar with the renewed Ontario Reliability</p>

Document Title, ID#, Ver.	Intended Audience	Stakeholder History	Cat.	Description of Change
				Compliance Program (ORCP), which encompasses all activities undertaken by the Market Assessment and Compliance Division (MACD) of the IESO to monitor compliance with reliability standards in Ontario. In the future, market participants that own or operate bulk electric system or bulk power system elements will be subject to inherent risk assessment and the implementation of market participant-specific compliance oversight plans, in accordance with this manual.
Market Manual 7: System Operations Part 7.2: Near-Term Assessments and Reports IMP_PRO_0033 42.1	All	Posted for review on Pending Changes - November 1, 2019	2	<p><b>Reason For Change</b> Added footnote in Section 3 stating that the IESO, at its discretion, may disable the five-minute Variable Generation forecasting tool when the forecast differs from the actual output by at least 50 MW.</p> <p><b>Description of Change</b> Added footnote in Section 3 stating that the IESO, at its discretion, may disable the five-minute Variable Generation forecasting tool when the forecast differs from the actual output by at least 50 MW.</p> <p><b>Description of Impact</b> Added footnote in Section 3 stating that the IESO, at its discretion, may disable the five-minute Variable Generation forecasting tool when the forecast differs from the actual output by at least 50 MW.</p>
Format Specifications for Settlement Statement Files and Data Files IMP_SPEC_0005 50.2	All	Posted for review on Pending Changes - October 9, 2019 Posted for review on Pending Changes - November 8, 2019	2	<p><b>Reason For Change</b> 50.2 - With the upcoming amendments to O.Reg 363/16 under the Ontario Rebate for Electricity Consumers Act (ORECA), 2016, the Ministry of Energy, Northern Development and Mines (ENDM) has introduced an expanded rebate program called Ontario Electricity Rebate (OER). The OER will replace the existing ORECA rebate to eligible electricity consumers in Ontario effective November 1, 2019. The Ministry has requested unique program ID for settlement purposes. This request is for creation of two new charge codes in Lawson and Commercial Reconciliation System (CRS) before the invoicing and settlement of November 30, 2019 preliminary settlement statement (PSS). 50.1 - We have added an additional manual line item to provide more clarity and enable better reconciliation of all transaction related to a settlement month.</p>

Document Title, ID#, Ver.	Intended Audience	Stakeholder History	Cat.	Description of Change
				<p><b>Description of Change</b>                      50.2 - CT2470 was missed in previous update, and has been added to this version. 1) New charge code 1457 called "Ontario Electricity Rebate Balancing Amount" will be used to settle the balancing amount of the claims with the Ministry. 2) New charge code 9983 called "Ontario Electricity Rebate Settlement Amount" will be used to settle the claims with the Local Distribution Companies (LDCs) and the Unit Sub-Metering Providers (USMPs) 50.1 - Updated Adjustment Comment for charge type 119</p> <p><b>Description of Impact</b>                      50.2 - Change will be on the PSS statement for November 30th, 2019 50.1 - Addition of "True-Up" to Adjustment Comment for Charge Type 119</p>
Market Manual 5: Settlements Part 5.5: Physical Markets Settlement Statements MDP_PRO_0033 73.2	All	Changes based on amendments to the O.Reg 363/16 under the Ontario Rebate for Electricity Consumers Act (ORECA), 2016, made by the Ministry of Energy, Northern Development and Mines (ENDM). Posted v73.1 for review on Pending Changes - November 11, 2019 Posted v73.2 for review on Pending Changes - November 14, 2019	3	<p><b>Reason For Change</b>                      Amendments to the O.Reg 363/16 under the Ontario Rebate for Electricity Consumers Act (ORECA) and introduction of an expanded rebate program called Ontario Electricity Rebate (OER) that will replace the ORECA effective November 1, 2019.</p> <p><b>Description of Change</b>                      73.2 Updated Section 1.6.32 to align defined terms to the terminology use in the OREC Act. Updated References section to include reference to the Ontario Regulation (363/16 and 364/16) under the OREC Act and to remove reference to the HST Guide for IESO Transactions Updated charge types for Regulated Price Plan as a result of amendments to Fair Hydro Act. 73.1 Updating/removing sections related to ORECA and introducing new section for the Ontario Electricity Rebate (OER) program</p> <p><b>Description of Impact</b>                      Document content up-to-date</p>
IESO Charge Types and Equations	All	Changes based on amendments to the O.Reg 363/16 under the	3	<p><b>Reason For Change</b>                      Implementation of new charge types to settle the Ontario Electricity Rebate (OER)</p>

Document Title, ID#, Ver.	Intended Audience	Stakeholder History	Cat.	Description of Change
IMP_LST_0001 67.2		Ontario Rebate for Electricity Consumers Act (ORECA), 2016 made by the Ministry of Energy, Northern Development and Mines (ENDM) Posted v67.1 for review on Pending Changes - November 11, 2019 Posted v67.2 for review on Pending Changes - November 14, 2019		<p>program. The OER program has been recently introduced by the Ministry of Energy, Northern Development and Mines (ENDM) as a result of amendments to the O.Reg 363/16 under the Ontario Rebate for Electricity Consumers Act (ORECA), 2016.</p> <p><b>Description of Change</b> 67.2 Updated References section to include reference to the Ontario Regulation (363/16 and 364/16) under the OREC Act. 67.1 Added the following charge types with Effective Date November 1, 2019 -Charge Type 1457 Ontario Electricity Rebate Balancing Amount -Charge Type 9983 Ontario Electricity Rebate Settlement Amount</p> <p><b>Description of Impact</b> Document content up-to-date</p>

Archived

## 2.3 Obsolete Documents

Content of obsolete documents is no longer applicable or required.

Document Title	Document Number

## 2.4 Superseded Documents

Content of superseded documents have been merged with an existing or new document.

Document Title	Document Number	Incorporated into Document

## 2.5 Pending Documents

The following documents were pending when the baseline was published and will be issued when available.

Document Title	Document Number	Target Date
Market Manual 2: Market Administration, Part 2.20 Performance Validation	IESO_REQ_0208	Will be published when available
Market Manual 11.0 Reliability Compliance Part 11.0 Reliability Compliance Monitoring and Enforcement Overview	MAN-103	Will be published when available
Market Manual 11.2 Ontario Reliability Compliance Program	IESO_PRO_0874	Will be published when available

## 2.6 Deferred Documents

The following document is deferred to the next baseline.

Document Title	Document Number	Target Date
Market Manual 11: Reliability Compliance Part 11.1 Applicability Criteria for Compliance with NERC Reliability Standards and NPCC Criteria	IESO_GDE_0364	Baseline 43.0

## 2.7 Withdrawn Documents

The following document is withdrawn from the current baseline.

Document Title	Document Number	Target Date
As a result of a <a href="#">decision</a> by the Ontario Energy Board (OEB), the IESO will no longer proceed with a capacity auction this December and will instead hold another demand response auction on December 4.		
The stay suspends the operation of the IESO's market rule changes and the market manuals listed below, pending the outcome of the OEB's review.		
MM1.1 Participant Authorization, Maintenance & Exit	MDP_PRO_0014	To be determined
MM1.2 Facility Registration, Maintenance and De-registration	MDP_PRO_0016	To be determined
MM1.3 Identity Management Operations Guide	IMP_GDE_0088	To be determined
MM 2.1 Dispute Resolution,	MDP_PRO_0017	To be determined
MM2.10 Connection Assessment and Approval Procedure	MDP_PRO_0048	To be determined
MM4.2 Submission of Dispatch Data in the Real-Time Energy and Operating Reserve Markets	MDP_PRO_0027	To be determined
MM4.3 Real Time Scheduling of the Physical Markets	IMP_PRO_0034	To be determined
MM5.4 - Prudential Support	MDP_PRO_0045	To be determined
MM6.0 Participant Technical Reference Manual	IMO_MAN_0024	To be determined
MM7.3 Outage Management	IMP_PRO_0035	To be determined
MM12.0 Capacity Auction (title changed from Demand Response)	MAN-44	To be determined

## 2.8 Interim Documents

At the time of publishing this document, the Interim documents listed are currently posted on the Pending Changes web page for participant review. The planned publication date is in the right column. Until that time the document may be subject to change. For updates to baseline documents, please refer to the Baseline Plan.

Document Title	Document Number	Planned Publication Date
Market Manual 7: System Operations Part 7.1: IESO-Controlled Grid Operating Procedures	MDP_PRO_0040	Baseline 43.0

– End of Section –

Archiving

## 3. Document Listing

The table below represents the documents available to *market participants* on the *IESO* website at their current issue.

Master Document	Document Title	Document Number	Document Description	Issue
Market Rules	Market Rules for the Ontario Electricity Market	MDP_RUL_0002	<i>Market Rules</i> for the Ontario Electricity Market for current Baseline	72.0
Market Rules	Market Rules Chapter 01 Introduction and Interpretation	MDP_RUL_0002_01	This chapter deals with matters such as the background and the objectives of the <i>market rules</i> .	9.0
Market Rules	Market Rules Chapter 1 Introduction And Interpretation Of The Market Rules - Appendices	MDP_RUL_0002_01A	This chapter deals with matters such as the background and the objectives of the <i>market rules</i> .	2.0
Market Rules	Market Rules Chapter 02 Participation	MDP_RUL_0002_02	This chapter deals with matters such as application, prudential requirements, technical requirements and payment default.	20.0
Market Rules	Market Rules Chapter 2 Participation - Appendices	MDP_RUL_0002_02A	This chapter deals with matters such as application, prudential requirements, technical requirements and payment default.	11.0
Market Rules	Market Rules Chapter 03 Administration, Supervision, Enforcement	MDP_RUL_0002_03	This chapter deals with matters such as dispute resolution, market surveillance, rule amendment, information confidentiality and enforcement.	29.0
Market Rules	Market Rules Chapter 3 Administration, Supervision, Enforcement - Appendices	MDP_RUL_0002_03A	This chapter deals with matters such as dispute resolution, market surveillance, rule amendment, information confidentiality and enforcement.	4.0
Market Rules	Market Rules Chapter 04 Grid Connection Requirements	MDP_RUL_0002_04	This chapter deals with matters such as out equipment standards, performance standards and obligations of <i>market participants</i> , connection conditions and provision and use of connection-related information.	13.0
Market Rules	Market Rules Chapter 4 Grid Connection Requirements - Appendices	MDP_RUL_0002_04A	This chapter deals with matters such as out equipment standards, performance standards and obligations of <i>market participants</i> , connection conditions and provision and use of connection-related information.	12.0
Market Rules	Market Rules Chapter 05 Power System Reliability	MDP_RUL_0002_05	This chapter deals with matters such as obligations and responsibilities, system <i>reliability</i> , system <i>security</i> , <i>outage</i> co-ordination, forecasting, voltage and <i>demand</i> control, <i>emergency</i> preparedness and information and reporting.	36.0
Market Rules	Market Rules Chapter 5 Power System Reliability - Appendices	MDP_RUL_0002_05A	This chapter deals with matters such as obligations and responsibilities, system <i>reliability</i> , system <i>security</i> , <i>outage</i> co-ordination, forecasting, voltage and <i>demand</i> control, <i>emergency</i> preparedness and information and reporting.	6.0
Market Rules	Market Rules Chapter 06 Wholesale Metering	MDP_RUL_0002_06	This chapter deals with matters such as <i>meter installation</i> , metering service provision, access, <i>security</i> and processing of <i>meter data</i> and performance of <i>meter installations</i> .	14.0

Master Document	Document Title	Document Number	Document Description	Issue
Market Rules	Market Rules Chapter 6 Wholesale Metering - Appendices	MDP_RUL_0002_06A	This chapter deals with matters such as <i>meter</i> installation, metering service provision, access, <i>security</i> and processing of <i>meter</i> data and performance of <i>meter</i> installations.	11.0
Market Rules	Market Rules Chapter 07 System Operations and Physical Markets	MDP_RUL_0002_07	This chapter deals with matters such as registration, data submission, <i>dispatch</i> algorithm, pre-dispatch, real-time scheduling, price determination, <i>procurement markets</i> , <i>capacity reserve</i> market and <i>generator</i> commitment.	39.0
Market Rules	Market Rules Chapter 7 System Operations and Physical Markets - Appendices	MDP_RUL_0002_07A	This chapter deals with matters such as registration, data submission, <i>dispatch</i> algorithm, pre-dispatch, real-time scheduling, price determination, <i>procurement markets</i> , <i>capacity reserve</i> market and <i>generator</i> commitment.	21.0
Market Rules	Market Rules Chapter 08 Physical Bilateral Contracts and Financial Markets	MDP_RUL_0002_08	This chapter deals with matters such as physical bilateral contracts, <i>energy forward market</i> , and <i>transmission rights</i> market associated with interchange risk management.	13.0
Market Rules	Market Rules Chapter 8 Physical Bilateral Contracts and Financial Markets - Appendices	MDP_RUL_0002_08A	This chapter deals with matters such as physical bilateral contracts, <i>energy forward</i> market, and <i>transmission rights</i> market associated with interchange risk management.	2.0
Market Rules	Market Rules Chapter 09 Settlements and Billing	MDP_RUL_0002_09	This chapter deals with matters such as <i>settlement</i> data collection, determination of hourly <i>settlement amounts</i> , non-hourly <i>settlement amounts</i> , market power mitigation and <i>settlement statements</i> .	45.0
Market Rules	Market Rules Chapter 9 Settlements and Billing - Appendices	MDP_RUL_0002_09A	This chapter deals with matters such as <i>settlement</i> data collection, determination of hourly <i>settlement amounts</i> , non-hourly <i>settlement amounts</i> , market power mitigation and <i>settlement statements</i> .	3.0
Market Rules	Market Rules Chapter 10 Transmission Service and Planning	MDP_RUL_0002_10	This chapter deals with matter such as basic use service, export service, connection service, transformation service, system <i>adequacy</i> and investment and information requirements.	4.0
Market Rules	Market Rules Chapter 11 Definitions	MDP_RUL_0002_11	This chapter sets out definitions of terms used in the Rules.	51.0
Market Rules	Market Rules to Manuals Cross Reference Guide	GDE-23	Cross reference guide for market participants when referring to an applicable market manual.	5.0
Market Manual	Market Manual 1: Market Entry, Maintenance and Exit Part 1.1: Participant Authorization, Maintenance and Exit	MDP_PRO_0014	This document provides detailed procedures to be followed by <i>applicants</i> who wish to become authorized as <i>market participants</i> in the physical and financial <i>IESO</i> -administered markets.	29.0
Market Manual	Market Manual 1: Market Entry, Maintenance and Exit Part 1.2: Facility Registration, Maintenance and De-Registration	MDP_PRO_0016	This document provides detailed procedures to be followed by <i>market participants</i> , who wish to register, maintain or de-register <i>facilities</i> as part of their participation in the <i>IESO</i> -administered <i>Physical Markets</i> .	37.0
Market Manual	Market Manual 1: Market Entry Maintenance & Exit Part 1.3 Identity Management Operations Guide	IMP_GDE_0088	This guide describes the process for <i>Market Participants</i> and the <i>IESO</i> to register, initialize, change, and revoke Digital Certificates required for access to <i>IESO</i> -secure Web servers.	24.0

Master Document	Document Title	Document Number	Document Description	Issue
Market Manual	Market Manual 2: Market Administration: Part 2.1 Dispute Resolution	MDP_PRO_0017	This procedure provides information to allow <i>Market Participants</i> , the <i>IESO</i> , and others to resolve disputes that are subject to the dispute resolution process set forth in the <i>market rules</i> .	15.0
Public Procedure	Market Manual 2: Market Administration: Part 2.2: Exemption Application and Assessment	IMO_PRO_0019	This document sets out the procedure established by the independent directors of the <i>IESO Board</i> by which <i>exemption applicants</i> may apply for an <i>exemption</i> from the application of standards and obligations	4.0
Market Manual	Market Manual 2: Market Administration Part 2.3: Market Rule Amendment Procedure	MDP_PRO_0019	This procedure provides the steps to initiate and process an amendment to the <i>market rules</i> .	6.0
Market Manual	Market Manual 2: Market Administration Part 2.5 Maintaining Surveillance Data and Amending the Data Catalogue	MDP_PRO_0021	This procedure provides <i>market participants</i> with information for providing market surveillance data to the <i>IESO</i> , requesting market surveillance data from the <i>IESO</i> , and submitting amendments to the Market Surveillance Data Catalogue.	7.0
Market Manual	Market Manual 2: Market Administration Part 2.6: Treatment of Compliance Issues	MDP_PRO_0022	This procedure provides the steps required for the treatment of compliance issues by both <i>Market Participants</i> and the <i>IESO</i> .	20.0
Market Manual	Market Manual 2: Market Administration Part 2.7: Treatment of Market Surveillance Issues	MDP_PRO_0023	This procedure provides the steps to process market surveillance issues.	8.0
Market Manual	Market Manual 2: Market Administration Part 2.8: Reliability Assessments Information Requirements	MDP_PRO_0024	This procedure provides the steps required for <i>market participants</i> to submit the information required for <i>reliability</i> assessments.	15.0
Market Manual	Market Manual 2: Market Administration Part 2.9: Request for Proposal (RFP) and Directives for Proposal (DFP)	MDP_PRO_0025	This procedure provides the steps for issuing and responding to Requests for Proposal (RFP) and Directives for Proposal (DFP).	6.0
Market Manual	Market Manual 2: Market Administration Part 2.10: Connection Assessment and Approval	MDP_PRO_0048	This document provides detailed procedures to be followed by <i>applicants</i> who wish to connect to the <i>IESO</i> -administered grid.	17.0
Market Manual	Market Manual 2: Market Administration Part 2.11: 18-Month Outlook and Related Information Requirements	IMP_PRO_0024	This procedure provides the steps required for <i>market participants</i> to submit the information required for the 18-Month Outlook, and specifies the information to be contained in the Outlook.	12.0
Market Manual	Market Manual 2: Market Administration Part 2.12: Treatment of Local Market Power	IMO_PRO_0034	This procedure provides the steps required for the review of prices related to CMSC payments, as described in Appendix 7.6 of Chapter 7 of the <i>market rules</i> .	9.0
Market Manual	Market Manual 2: Market Administration Part 2.13: Market Document Baseline Management	IMO_PRO_0039	This document provides market participants with an overview of the Market Document Baseline Management procedure used by the <i>IESO</i> for maintaining and updating documentation related to the <i>IESO</i> -administered markets.	4.0
Market Manual	Market Manual 2.0: Market Administration Part 2.14: Information Confidentiality Catalogue	IMO_CAT_0002	This is a catalogue of information in the possession and control of the <i>IESO</i> .	9.0
Market Manual	Market Manual 2.0 Market Administration Part 2.16: Ontario Technical Feasibility Exception	IESO_PRO_0680	This procedure provides guidance to TFE applicants on the Ontario-adapted <i>NERC</i> Technical Feasibility Exception process.	5.0
Market Manual	Market Manual 2: Market Administration Part 2.17: Compliance Auditing of Reliability Standards for Ontario Market	IESO_PRO_0803	This procedure provides guidance on auditing of <i>reliability standards</i> for Ontario <i>Market Participants</i> .	1.0

Master Document	Document Title	Document Number	Document Description	Issue
	Participants			
Market Manual	Market Manual 2: Market Administration, Part 2.20 Performance Validation	IESO_REQ_0208	A document to mitigate risks that equipment will not be suitable for connection to the <i>IESO-controlled grid</i> and to clarify validation requirements.	9.0
Market Manual	Market Manual 3: Metering Part 3.0: Metering Overview	MDP_MAN_0003	This document provides an overview of the <i>market manual</i> for Metering.	11.0
Market Manual	Market Manual 3: Metering Part 3.1: Metering Service Provider (MSP) Registration, Revocation, and De-registration	MDP_PRO_0007	This document provides an overview of the steps associated with registration, revocation, and de-registration of <i>metering service providers</i> .	16.0
Market Manual	Market Manual 3: Metering Part 3.2: Meter Point Registration and Maintenance	MDP_PRO_0013	This document describes the procedures for registering <i>meter</i> points and changes to <i>metering installations</i> in the <i>IESO</i> marketplace.	27.0
Market Manual	Market Manual 3: Metering Part 3.4: Measurement Error Correction	MDP_PRO_0010	This document provides guidance to <i>metering service providers</i> on how to calculate and submit Measurement Error Correction (MEC) to the <i>IESO</i> .	11.0
Market Manual	Market Manual 3: Metering Part 3.5: Site Specific Loss Adjustments	MDP_PRO_0011	This document provides guidance to <i>metering service providers</i> on how to calculate and submit Site-Specific Loss Adjustments (SSLA) to the <i>IESO</i> .	8.0
Market Manual	Market Manual 3: Metering Part 3.6: Conceptual Drawing Review	MDP_PRO_0012	This document provides guidance to <i>metering service providers</i> and <i>metered market participants</i> on how to submit conceptual drawings for proposed <i>metering installations</i> and changes to existing <i>metering installations</i> to the <i>IESO</i> for review.	13.0
Market Manual	Market Manual 3: Metering Part 3.7: Totalization Table Registration	IMP_PRO_0047	This procedure describes the process through which <i>metering service providers</i> submit Totalization Tables and approve the tables entered by the <i>IESO</i> in the <i>Metering Registry</i> .	21.0
Market Manual	Market Manual 3: Metering Part 3.8 Creating and Maintaining Delivery Point Relationships	IMP_PRO_0057	This procedure details the procedures to be followed by <i>market participants</i> to enable the creation and maintenance of <i>delivery point</i> relationships, after the <i>market commencement date</i> .	12.0
Market Manual	Market Manual 3: Metering Part 3.9: Conformance Monitoring	IMP_PRO_0058	This document provides <i>market participants</i> , <i>metered market participants</i> (MMPs), and <i>metering service providers</i> (MSPs) with the steps required to comply with the <i>IESO</i> Conformance Monitoring process.	18.0
Market Manual	Market Manual 3: Metering Part 3.10 Transmission Grid - High Voltage Metering	IESO_PRO_0573	This procedure describes the process to be followed by <i>market participants</i> when installing a <i>single metering installation</i> for more than one <i>connection point</i> or <i>embedded connection point</i> .	1.0
Market Manual	Market Manual 4: Market Operations Part 4.2: Submission of Dispatch Data in the Real-Time Energy and Operating Reserve Markets	MDP_PRO_0027	This procedure provides guidance to <i>Market Participants</i> on the submission of <i>dispatch</i> data in the <i>Real-Time Energy</i> and <i>Operating Reserve Markets</i> .	59.0
Market Manual	Market Manual 4: Market Operations Part 4.3: Real-Time Scheduling of the Physical Markets	IMP_PRO_0034	This procedure provides guidance to <i>Market Participants</i> on the Real-time scheduling process in the <i>IESO</i> -administered <i>physical markets</i> .	55.0

Master Document	Document Title	Document Number	Document Description	Issue
Market Manual	Market Manual 4: Market Operations Part 4.4: Transmission Rights Auction	MDP_PRO_0029	This procedure provides guidance to <i>Market Participants</i> on the operation of the <i>Transmission Rights Auction</i> process.	19.0
Market Manual	Market Manual 4: Market Operations Part 4.5: Market Suspension and Resumption	MDP_PRO_0030	This procedure provides <i>market participants</i> with the information necessary to respond to market suspension, operate during the suspension and support the subsequent resumption of normal market operations.	10.0
Market Manual	Market Manual 4: Market Operations Part 4.6: Real-Time Generation Cost Guarantee Program	PRO-324	This procedure provides guidance to <i>market participant</i> on the operation and settlement of the Real-Time Generation Cost Guarantee Program.	3.0
Market Manual	Market Manual 5: Settlements Part 5.0 Settlements Overview	MDP_MAN_0005	This manual provides <i>market participants</i> with an overview of the procedures contained in the <i>Settlements Manual</i> and the timelines for <i>IESO</i> and market participant actions related to those procedures.	12.0
Market Manual	Market Manual 5: Settlements Part 5.1: Settlement Schedule and Payment Calendars (SSPC's)	MDP_PRO_0031	This procedure describes the annual publication of the Settlement Schedule and Payments Calendars (SSPCs) and the manner in which <i>market participants</i> may submit comments and objections regarding draft versions of each SSPC.	9.0
Market Manual	Market Manual 5: Settlements Part 5.2: Metering Data Processing	MDP_PRO_0032	This procedure describes to <i>market participants metering data</i> processes for the purpose of <i>settlements</i> .	28.0
Market Manual	Market Manual 5: Settlements Part 5.3: Submission of Physical Bilateral Contract Data	MDP_PRO_0034	This procedure describes the activities required for the submission of <i>physical bilateral contract data</i> to the <i>IESO</i> by <i>selling market participants</i> for <i>settlement purposes</i> .	14.0
Market Manual	Market Manual 5: Settlements 5.4: Prudential Support	MDP_PRO_0045	This procedure describes the activities to be undertaken by the <i>IESO</i> and <i>Market Participants</i> to manage the <i>prudential support</i> required to participate in the <i>real-time market</i> .	28.0
Market Manual	Market Manual 5: Settlements Part 5.5: Physical Markets Settlement Statements	MDP_PRO_0033	This procedure describes the process to be followed by the <i>IESO</i> and <i>market participants</i> in issuing, retrieving and disputing <i>physical markets settlement statements</i> and describes supporting information issued by the <i>IESO</i> .	74.0
Market Manual	Market Manual 5: Settlements Part 5.6: Physical Markets Settlement Invoicing	MDP_PRO_0035	This procedure provides information required by the <i>IESO</i> and <i>market participants</i> to prepare and process <i>settlement invoices</i> for the <i>physical markets</i> administered by the <i>IESO</i> .	15.0
Market Manual	Market Manual 5: Settlements Part 5.7: Financial Market Settlement Statements	MDP_PRO_0046	This procedure provides steps for retrieving financial markets settlement statements and supporting information published by the <i>IESO</i> .	17.0
Market Manual	Market Manual 5: Settlements Part 5.8: Financial Market Settlement Invoicing	MDP_PRO_0047	This procedure provides information required by the <i>IESO</i> and <i>Market Participants</i> to prepare and process <i>settlement invoices</i> for the financial markets administered by the <i>IESO</i> .	15.0
Market Manual	Market Manual 5: Settlements Part 5.9: Settlement Payment Methods and Schedule	MDP_PRO_0036	This procedure describes the activities and schedule required by the <i>IESO</i> and <i>Market participants</i> to process both Market participant <i>settlement payments</i> to the <i>IESO</i> and <i>IESO settlement credits</i> to <i>Market participants</i> .	19.0

Master Document	Document Title	Document Number	Document Description	Issue
Market Manual	Market Manual 6: Participant Technical Reference Manual	IMO_MAN_0024	The <i>PTRM</i> provides the technical details for hardware and software that a participant in the electricity market may need to interface with the <i>IESO</i> .	34.0
Market Manual	Market Manual 7: System Operations Part 7.1: System Operating Procedures	MDP_PRO_0040	This document provides procedures and guidelines for <i>Market Participants</i> and <i>IESO</i> that are required to ensure the <i>security</i> and <i>reliability</i> of the interconnected power system. It covers the span from normal conditions to <i>emergency</i> conditions that are just less than a system-wide shutdown.	36.0
Market Manual	Market Manual 7: System Operations Part 7.2: Near-Term Assessments and Reports	IMP_PRO_0033	This procedure describes the process by which the <i>IESO</i> undertakes short-term weekly and daily forecasts and assessments of expected system conditions on the <i>IESO-controlled grid</i> .	43.0
Market Manual	Market Manual 7: System Operations Part 7.3: Outage Management	IMP_PRO_0035	This document outlines the process <i>market participants</i> must follow in submitting <i>outage</i> requests for <i>facilities</i> .	38.0
Market Manual	Market Manual 7: Systems Operations Part 7.4: IESO-Controlled Grid Operating Policies	IMP_POL_0002	This document provides policy statements for reliable operation of the <i>IESO-Controlled grid</i> .	37.0
Market Manual	Market Manual 7: Systems Operations Part 7.6: Glossary of Standard Operating Terms	IMP_GOT_0002	This document provides a glossary of terms with definitions used in the System Operations Manual.	9.0
Market Manual	Market Manual 7: System Operations Part 7.8: Ontario Power System Restoration Plan	IMO_PLAN_0001	This document provides the requirements, procedures and guidelines to be followed by those <i>Market Participants</i> that are Restoration Participants and the <i>IESO</i> to support and implement the Ontario Power System Restoration Plan.	16.0
Market Manual	Market Manual 7: System Operations Part 7.10: Ontario Electricity Emergency Plan	IMO_PLAN_0002	This document describes the Ontario electricity sector's <i>emergency</i> management program, and how the <i>IESO</i> coordinates with market participant and government stakeholders	9.0
Market Manual	Market Manual 9.0: Operating in the Day Ahead Commitment Process	IESO_MAN_0041	This manual provides the operations and <i>settlement</i> procedures related to the Day-Ahead Commitment Process.	13.0
Market Manual	Market Manual 9.1: Submitting Registration Data for Day-Ahead Commitment Process	IESO_MAN_0076	This document provides guidance to Market Participant on the submission of registration data of the Day-Ahead Commitment Process.	2.0
Market Manual	Market Manual 9.2: Submission of Operational and Market Data for the Day-Ahead Commitment Process	IESO_MAN_0077	This document provides guidance to Market Participants on the submission of dispatch data in the Day-Ahead Energy and Operating Reserve Markets.	10.0
Market Manual	Market manual 9.3: Operation of the Day-Ahead Commitment Process	IESO_MAN_0078	This document provides guidance to Market Participants on the operation of the Day-Ahead Commitment Process.	8.0
Market Manual	Market Manual 9.4: Real-Time Integration of the DACP	IESO_MAN_0079	This document provides guidance to Market Participants on the submission of dispatch data in the Real-Time Energy and Operating Reserve Markets.	5.0
Market Manual	Market Manual 9.5: Settlement for the Day-Ahead Commitment Process	IESO_MAN_0080	This document provides guidance to Market Participants on the procedures associated with the interaction of the Day-Ahead Commitment Process with Settlements.	7.0

Master Document	Document Title	Document Number	Document Description	Issue
Market Manual	Market Manual 11.1 Applicability Criteria for Compliance with NERC Reliability Standards and NPCC Criteria	IESO_GDE_0364	This guide outlines the criteria the <i>IESO</i> uses to determine applicability for compliance with NERC Reliability Standards and NPCC Criteria.	6.0
Market Manual	Market Manual 11.2 Ontario Reliability Compliance Program	IESO_PRO_0874	This <i>market manual</i> describes the Ontario Reliability Compliance Program and the associated processes and procedures, along with the associated roles and responsibilities to assess, monitor and report <i>reliability</i> compliance to Electric Reliability Standards.	3.0
Market Manual	Market Manual 11.3 Reliability Information Catalogue	IESO_CAT_0011	A catalogue of the information that is to be shared between the <i>IESO</i> and <i>Market Participants</i> in relation to maintaining the reliability of the <i>IESO-controlled grid</i> .	5.0
Market Manual	Market Manual 11.4 Ontario Bulk Electric System (BES) Exception	IESO_PRO_0875	This procedure provides guidance to BES applicants on the Ontario-adapted NERC BES Exception procedure.	3.0
Market Manual	Market Manual 11.5 Generation Verification	IESO_PRO_0876	This procedure describes the Generator Capability Verification process, intended to provide current and accurate modeling information for reliability assessments of the <i>IESO-controlled grid</i> .	2.0
Market Manual	Market Manual 12.0 Demand Response Auction Part 12.0 Demand Response Auction	MAN-44	This procedure provides guidance to market participants on the operation of the demand response auction process	6.0
Market Manual	Market Manual 13.1 Capacity Export Requests	PRO-357	This procedure provides information to market participants on the submission and <i>IESO</i> assessment of Capacity Export Requests.	1.0
Market Administration Forms and Agreements	2015 Capacity Based Demand Response (CBDR) Program Settlement Processing Timelines	CAL-12	Initial Release, of <i>IESO's</i> transitional Capacity Based Demand Response (CBDR) Program	2.0
Market Administration Forms and Agreements	System Impact Assessment Application (IESO)/ Customer Impact Assessment Application (Hydro One SSM) for Generation Facilities	FORM-21	This form provides Hydro One Sault Ste. Marie (Hydro One SSM) an application form for Generation.	6.0
Market Administration Forms and Agreements	System Impact Assessment Application (IESO)/ Customer Impact Assessment Application (Hydro One SSM) for Load Facilities	FORM-22	This form provides Hydro One Sault Ste. Marie (Hydro One SSM) an application form for Load.	6.0
Reliability Compliance	NERC Reliability Standard EOP-003-2 - Load Shedding Plans	FORM-29	This form is used to ensure each Transmission Operator and Balancing Authority operating with insufficient generation or transmission capacity must have the capability and authority to shed load rather than risk an uncontrolled failure of the Interconnection.	1.0
Reliability Compliance	NERC Reliability Standard IRO-001-1.1 - Reliability Coordination	FORM-30	This form is used to ensure Reliability Coordinators have the authority, plans, and agreements in place to immediately direct reliability entities within their Reliability Coordinator Areas to re-dispatch generation, reconfigure transmission, or reduce load to mitigate critical conditions to return the system to a reliable state.	1.0

Master Document	Document Title	Document Number	Document Description	Issue
Reliability Compliance	NERC Reliability Standard PER-001-0.2 - Operating Personnel Responsibility and Authority	FORM-31	This form is used to ensure each Transmission Operator and Balancing Authority shall provide operating personnel with the responsibility and authority to implement real-time actions to ensure the stable and reliable operation of the Bulk Electric System.	1.0
Reliability Compliance	ORCP Requirements NERC Reliability Standard PER-005-1 – System Personnel Training	FORM-32	To facilitate compliance monitoring of this NERC standard, which was included on NERC' Compliance Monitoring and Enforcement Program (CMEP) list of actively monitored standards.	1.0
Reliability Compliance	ORCP Requirements NERC Reliability Standard PRC-006-1 – Automatic Underfrequency Load Shedding	FORM-33	To facilitate compliance monitoring of this NERC standard, included on NERC's Compliance Monitoring and Enforcement Program (CMEP) list of actively monitored standards.	1.0
Reliability Compliance	Ontario Reliability Compliance Program Requirements NERC Reliability Standard TOP-001-1a – Reliability Responsibilities and Authorities,	FORM-34	To facilitate compliance monitoring of this NERC standard, included on NERC's Compliance Monitoring and Enforcement Program (CMEP) list of actively monitored standards.	1.0
Reliability Compliance	Ontario Reliability Compliance Program Requirements NERC Reliability Standard TOP-008-1 – Response to Transmission Limit Violations,	FORM-35	To facilitate compliance monitoring of this NERC standard, included on NERC's Compliance Monitoring and Enforcement Program (CMEP) list of actively monitored standards.	1.0
Settlements Forms and Agreements	Capacity Based Demand Response (CBDR) Contributor Form	FORM-39	Capacity Based Demand Response (CBDR) Contributor Form	1.0
Demand Response Auction	Demand Response Facilities	FORM-46	Demand Response Facilities	1.0
Market Administration Forms and Agreements	Consent for Connect Cost Recovery Agreement Status Request	FORM-49	Consent for Connection Cost Recovery Agreement Status Request	1.0
Reliability Compliance	Ontario Reliability Compliance Program - Requirements Form, NERC Reliability Standard: PRC-005-2(i) Protection System Maintenance	FORM-54	NERC Reliability Standard: PRC-005-2(i) Protection System Maintenance. form is used as an attachment to the electronic Self Certifications for Pool A participants through the Reliability Compliance Too	1.0
Reliability Compliance	MOD-25 IESO Active and Reactive Power Capability Verification	FORM-56	This form was created to assist Generators efficiently fulfil obligations under NERC MOD-25.	2.0
Reliability Compliance	MOD-026 Verification of Models and Data for Generator Excitation	FORM-57	This form was created to assist Generators efficiently fulfil obligations under NERC MOD-26	3.0
Reliability Compliance	MOD-027 Verification of Models and Data for Generator Turbine/Governor and Load Control	FORM-58	This form was created to assist Generators efficiently fulfil obligations under NERC MOD-27	2.-0
Reliability Compliance	NERC Standard PRC-019: Coordination of Generating Unit or Plant Capabilities, Voltage Regulating Controls, and Protection	FORM-69	This form was created to assist Generators anticipate and fulfill requirements submissions for NERC PRC-019.	2.0
Reliability Compliance	NERC Standard PRC-24: Generator Frequency and Voltage Protective Relay Settings, and Related IESO Requirements	FORM-70	This form was created to assist Generators submissions for NERC PRC-024 and related IESO requirements.	1.0

Master Document	Document Title	Document Number	Document Description	Issue
Market Assessment and Compliance Division (MACD)	Market Rules and Compliance and Enforcement Guidance Request	FORM-75	This form provides compliance and enforcement guidance regarding specific <i>market rules</i> and manuals.	1.0
Metering Forms and Agreements	Connection Facility Station Service Not Metered by an RWM	FORM-78	This form will help market participants with the submission of the connection station service submission.	1.0
Technical Interface Document	Revenue Metering Reports – Implementation Guide for EDI-867 Meter Data	GDE-139	This document describes the implementation to be used for the Ontario electric meter read data transfer and is based on the EDI-867 implementations in use in other jurisdictions.	1.0
Technical Interface Document	Revenue Metering Reports – Importing and Reading the IESO EDI-867 Meter Data File	GDE-140	This document describes how to import and read the IESO EDI-867 Meter Data File	2.0
Emergency Preparedness	Ontario Pandemic Influenza Plan for Continuity of Electricity Operations Planning Guide	GDE-162	This planning guide provides a common planning, mitigation and response framework to assure the continued reliability of Ontario's electricity system through an influenza (or other infectious disease) pandemic.	4.0
Technical Interface Document	Loss Equations - Administration of Losses	GDE-184	This document describes how loss equations (fixed loss factors and loss coefficients) are applied to specific service points within the IESO's Meter Data Management (MDM) system.	2.0
Market Administration	Quick Take: Interim Market Document Change (IMDC)	GDE-280	This Quick Take describes how and when an IMDC is used by the IESO when introducing changes to market documents between scheduled quarterly baseline publications dates.	1.0
Market Administration Forms and Agreements	Cost Recovery Agreement	IESO_AGR_0022	Cost Recovery Agreement for Requests for Connection Assessments that do not Require a Formal Connection Assessment and Approval Study – Expedited System Impact Assessment	4.0
Market Administration Forms and Agreements	TFE Cost Recovery Agreement	IESO_AGR_0023	Initial Release, written to correspond with Ontario Technical Feasibility Exception Procedure	4.0
Reliability Compliance	IESO Reliability Compliance Program - Requirement Form – NPCC Directory #8 – Section 5.7, OPSRP – Section 12, Key Facility Critical Component Testing	IESO_FORM_1520	Key Facilities/Critical Components List	2.0
Market Administration Forms and Agreements	Request To Transfer Exemption	IESO_FORM_1603	Request To Transfer Exemption form	2.0
Market Administration Forms and Agreements	IESO Staff Recommendation to Panel on Request to Transfer Exemption	IESO_FORM_1604	IESO Staff Recommendation to Panel on Request to Transfer Exemption form	1.0
Reliability Compliance	Ontario Reliability Compliance Program Requirements Form - Emergency Preparedness Plan	IESO_FORM_1608	Ontario Reliability Compliance Program Requirements Form - Emergency Preparedness Plan is used to self-certify compliance with Ontario emergency planning and recovery <i>market rules</i> .	8.0
Reliability Compliance	IESO Reliability Compliance Program – Program Certification Form NERC Reliability Standard EOP-005 Emergency Restoration Planning	IESO_FORM_1609	IESO Reliability Compliance Program Requirements Form – Emergency Restoration Planning is used to self-certify compliance with Ontario emergency planning and recovery <i>market rules</i> .	10.0

Master Document	Document Title	Document Number	Document Description	Issue
Reliability Compliance	Ontario Reliability Compliance Program - Requirements Form - NERC Reliability Standard CIP-001-2a Sabotage Reporting	IESO_FORM_1610	Sabotage Reporting contains the requirements to report disturbances or unusual occurrences suspected or determined to be caused by sabotage.	5.0
Reliability Compliance	IESO Reliability Compliance Program Certification Form NERC Reliability Standard PER-002 Operating Personnel Training	IESO_FORM_1611	IESO Reliability Compliance Program Certification Form NERC Reliability Standard PER-002 Operating Personnel Training	2.0
Reliability Compliance	Ontario Reliability Compliance Program Requirements NERC Reliability Standard PER-003-1 Operating Personnel Credentials	IESO_FORM_1612	To facilitate compliance monitoring of this NERC standard, included on NERC's Compliance Monitoring and Enforcement Program (CMEP) list of actively monitored standards.	2.0
Reliability Compliance	Ontario Reliability Compliance Program Requirements Form – NERC Reliability Standard - FAC-008-1, Facility Ratings Methodology	IESO_FORM_1623	Facility Ratings Methodology	6.0
Reliability Compliance	Ontario Reliability Compliance Program - Requirements Form - NERC Reliability Standard - FAC-009-1, Establish and Communicate Facility Ratings	IESO_FORM_1624	Establish and Communicate Facility Ratings	6.0
Reliability Compliance	IESO Reliability Compliance Program - Certification Form NERC Reliability Standard: MOD-010-0 Steady-state System Modeling Data	IESO_FORM_1632	Steady-state System Modeling Data	2.0
Reliability Compliance	IESO Reliability Compliance Program - Certification Form NERC Reliability Standard: MOD-012-0 Dynamic Modeling Data	IESO_FORM_1633	Dynamic Modeling Data	2.0
Reliability Compliance	IESO Reliability Compliance Program Certification Form - Verification of Generator Gross and Net Real Power Capability	IESO_FORM_1635	Verification of Generator Gross and Net Real and Reactive Power Capability	3.0
Reliability Compliance	IESO Reliability Compliance Program Certification Form NERC Reliability Standard MOD-025 Verification of Generator Gross and Net Reactive Power Capability	IESO_FORM_1636	Verification of Generator Gross and Net Reactive Power Capability	2.0
Reliability Compliance	Ontario Reliability Compliance Program - Requirements Form - NERC Reliability Standard PRC-001 – System Protection Coordination	IESO_FORM_1637	System Protection Coordination form is used to self-certify that system protection is coordinated among operating entities	6.0
Reliability Compliance	IESO Reliability Compliance Program Certification Form NERC Reliability Standard: PRC-015 Special Protection System Data and Document	IESO_FORM_1640	PRC-015 Special Protection System Data and Document	2.0
Reliability Compliance	Ontario Reliability Compliance Program - Requirements Form NERC Reliability Standard: TOP-002-2a and TOP-002-2b Normal Operations Planning	IESO_FORM_1643	Normal Operations Planning	5.0
Reliability Compliance	Ontario Reliability Compliance Program - Requirements NERC Reliability Standard TOP-006-2 Monitoring System Conditions,	IESO_FORM_1645	To facilitate compliance monitoring of this NERC standard, which was included on NERC's Compliance Monitoring and Enforcement Program (CMEP) list of actively monitored standards	2.0
Settlements Forms and Agreements	Fuel Cost Compensation	IESO_FORM_1654	To facilitate recovery of certain fuel costs if a generator is first committed through the Day Ahead Commitment Process (DACP), accepts the day-ahead production cost guarantee and then is subsequently de-committed by the IESO. This	3.0

Master Document	Document Title	Document Number	Document Description	Issue
			form is intended for submitting generation cost information to keep a <i>generator</i> whole for costs incurred to meet a day-ahead commitment that the <i>generator</i> cannot recover from the market or elsewhere.	
Metering Forms and Agreements	Acceptance of Compliance Aggregation Model	IESO_FORM_1660	Acceptance of Compliance Aggregation Model	4.0
Metering Forms and Agreements	Application to Retain Registration under the Alternative Metering Installation Standard	IESO_FORM_1663	<i>Metered Market Participants</i> can apply to retain registration under the alternative metering installation standard	1.0
Metering Forms and Agreements	Acceptance of Meter Disaggregation Model	IESO_FORM_1665	This is a new form that is referenced in Market Manual 3: Metering Part 3.7: Totalization Table Registration	3.0
Reliability Compliance	Ontario Reliability Compliance Program - Requirements Form - NERC Reliability Standards: CIP-002-3 Cyber Security - Critical Cyber Asset Identification	IESO_FORM_1694	Critical Cyber Asset Identification is used to self-certify compliance with the NERC requirement to identify Critical Cyber Assets associated with the Critical Assets that support the reliable operation of the Bulk Electric System.	6.0
Reliability Compliance	Ontario Reliability Compliance Program - Requirements Form - NERC Reliability Standards: CIP-003-3 Cyber Security - Security Management Controls	IESO_FORM_1695	Security Management Controls is used to self-certify that minimum security management controls are in place to protect Critical Cyber Assets.	6.0
Reliability Compliance	Ontario Reliability Compliance Program - Requirements Form - NERC Reliability Standards: CIP-004-3 Cyber Security - Personnel and Training	IESO_FORM_1696	Personnel and Training is used to self-certify that personnel having authorized cyber or unescorted physical access to Critical Cyber Assets, including contractors and service vendors, have an appropriate level of personnel risk assessment, training, and security awareness.	7.0
Reliability Compliance	Ontario Reliability Compliance Program - Requirements Form - NERC Reliability Standard: CIP-005-3a Cyber Security - Electronic Security Perimeter(s)	IESO_FORM_1697	Electronic Security Perimeter(s) is used to self-certify the identification and protection of the Electronic Security Perimeter(s) inside which all Critical Cyber Assets reside, as well as all access points on the perimeter.	8.0
Reliability Compliance	Ontario Reliability Compliance Program - Requirements Form - NERC Reliability Standard: CIP-006 Physical Security of Critical Cyber Assets	IESO_FORM_1698	Physical Security of Critical Cyber Assets is to self-certify compliance with the NERC requirement to implement a physical security program for the protection of Critical Cyber Assets.	7.0
Reliability Compliance	Ontario Reliability Compliance Program - Requirements Form - NERC Reliability Standard: CIP-007 Systems Security Management	IESO_FORM_1699	Systems Security Management is to self-certify compliance with the NERC requirement for Responsible Entities to define methods, processes, and procedures for securing Critical Cyber Assets and other Cyber Assets within the Electronic Security Perimeter(s).	9.0
Reliability Compliance	Ontario Reliability Compliance Program - Requirements Form - NERC Reliability Standard: CIP-008 Incident Reporting and Response Planning	IESO_FORM_1700	Incident Reporting and Response Planning is to self-certify compliance with the NERC requirement to identify, classify, respond to and report Cyber Security Incidents related to Critical Cyber Assets.	5.0
Reliability Compliance	Ontario Reliability Compliance Program - Requirements Form - NERC Reliability Standard: CIP-009 Recovery Plans for Critical Cyber Assets	IESO_FORM_1701	Recovery Plans for Critical Cyber Assets is to self-certify compliance with the NERC requirement to put in place recovery plans for Critical Cyber Assets and that these plans follow established business continuity and disaster recovery techniques and practices.	6.0

Master Document	Document Title	Document Number	Document Description	Issue
Reliability Compliance	Ontario Reliability Compliance Program - Requirements Form NERC Reliability Standard: NUC-001-2 Nuclear Plant Interface Coordination	IESO_FORM_1704	Nuclear Plant Interface Coordination	4.0
Market Administration Forms and Agreements	Renewable Energy Generation Facility Application to Request a Connection Assessment for Hydro One	IESO_FORM_1706	Renewable Energy Generation Facility Application to Request a Connection Assessment for Hydro One	11.0
Market Administration Forms and Agreements	Renewable Energy Generation Facility Application to Request a Connection Assessment for Hydro One SSM	IESO_FORM_1707	Renewable Energy Generation Facility Application to Request a Connection Assessment for Hydro One Sault Ste. Marie	10.0
Market Administration Forms and Agreements	NERC Critical Infrastructure Protection Standards - Technical Feasibility Exception Request and Material Change Report Submission Form	IESO_FORM_1717	Technical Feasibility Exception Application	3.0
Reliability Compliance	IESO Reliability Compliance Program Data Request Form - NPCC Directory #12, Under frequency Load Shedding Program Requirements Generator Under frequency Protection Requirements	IESO_FORM_1718	Generator Under frequency Protection Requirements - applicable to all registered Generators	6.0
Reliability Compliance	IESO Reliability Compliance Program – Data Request Form, NPCC Directory #12, Under frequency Load Shedding Program Requirements Under frequency Load Shedding Program Requirements	IESO_FORM_1719	Under frequency Load Shedding Program Requirements - applicable to all registered Distributors, Transmitters and Wholesale Consumers	6.0
Reliability Compliance	Ontario Reliability Compliance Program - Requirements Form NERC Reliability Standard: PRC-023-1 Transmission Relay Loadability	IESO_FORM_1738	Transmission Relay Loadability	4.0
Reliability Compliance	Ontario Reliability Compliance Program - Requirements Form - NERC Reliability Standard: COM-002-2 - Communication and Coordination	IESO_FORM_1747	Communication and Coordination is used to ensure Balancing Authorities, Transmission Operators, and Generator Operators have adequate communications and that these communications capabilities are staffed and available for addressing a real-time emergency condition.	3.0
Reliability Compliance	Ontario Reliability Compliance Program – Requirements Form – NERC Reliability Standard: PRC-0170-0 – Special Protection System Maintenance and Testing	IESO_FORM_1748	Special Protection System Maintenance and Testing is used to ensure that all Special Protection Systems (SPS) are properly designed, meet performance requirements, and are coordinated with other protection systems.	3.0
Reliability Compliance	Ontario Reliability Compliance Program – Requirements Form-NERC Reliability Standard: IRO-005-2a and IRO-005-3a-Reliability Coordination – Current day Operations	IESO_FORM_1749	Current Day Operations is used facilitate compliance monitoring of NERC standard IRO-005-3a and IRO-005-3.1a - Reliability Coordination - Current Day Operations, which was included on NERC's Compliance Monitoring and Enforcement Program (CMEP) list of actively monitored standards. (v3.0 wasn't published because standard is not being asked for certification as per NPCC's schedule change).	4.0
Reliability Compliance	Ontario Reliability Compliance Program - Requirements Form - NERC Reliability Standard: EOP-001-0 and EOP-001-0b - Emergency Operations Planning	IESO_FORM_1750	Emergency Operations Planning is used to facilitate compliance monitoring of NERC standard EOP-001-0b and EOP-001-0.1b Emergency Operations Planning, which was included on NERC's Compliance Monitoring and Enforcement Program (CMEP) list of actively monitored standards.	5.0
Reliability Compliance	Ontario Reliability Compliance Program - Requirements Form - NERC Reliability	IESO_FORM_1751	Telecommunications is used to self-certify compliance with the NERC standard to have	3.0

Master Document	Document Title	Document Number	Document Description	Issue
	Standard: COM-001-1.1 – Telecommunications		adequate and reliable telecommunications facilities internally and with others for the exchange of Interconnection and operating information necessary to maintain reliability.	
Reliability Compliance	Ontario Reliability Compliance Program - Requirements Form - NERC Reliability Standard: EOP-005-1 - System Restoration Plans	IESO_FORM_1752	System Restoration Plans is used to facilitate compliance monitoring of NERC standard EOP-005-1 - System Restoration Plans, which was included on NERC's Compliance Monitoring and Enforcement Program (CMEP) list of actively monitored standards. (v3.0 wasn't published because standard is not being asked for certification as per NPCC's schedule change).	4.0
Reliability Compliance	Ontario Reliability Compliance Program - Requirements Form - NERC Reliability Standard: EOP-008-0 - Plans for Loss of Control Center Functionality	IESO_FORM_1753	Plans for Loss of Control Center Functionality is used to facilitate compliance monitoring of NERC standard EOP-008-0 - Plans for Loss of Control Center Functionality, which was included on NERC's Compliance Monitoring and Enforcement Program (CMEP) list of actively monitored standards. (v3.0 wasn't published because standard is not being asked for certification as per NPCC's schedule change).	4.0
Reliability Compliance	Ontario Reliability Compliance Program Requirements Form – Market Rules: Chapter 4 – Appendix 4.2, Category 1: Off-Nominal Frequency and Category 2: Speed/Frequency Regulation	IESO_FORM_1754	This form was developed to facilitate compliance monitoring of the <i>Market Rules</i> including monitored requirements prior to certification. Category 1: Off-Nominal Frequency and Category 2: Speed/Frequency.	2.0
Market Administrations Forms and Agreements	Ontario Bulk Electric System (BES) Exception Request and Detailed Information form	IESO_FORM_1757	Form provides initial application information in support of market participants' exception request from the application of the BES definition to their application of the BES definition to their applicable facilities.	2,0
Reliability Compliance	Ontario Reliability Compliance Program - Requirements Form - NERC Reliability Standard: IRO-004-2 Reliability Coordination - Operations Planning	IESO_FORM_1759	Form is used to self-certify compliance with the NERC requirement for the Reliability Coordinator to conduct next-day reliability analyses to ensure the Bulk Electric System can be operated reliably in anticipated normal and Contingency conditions	2.0
Reliability Compliance	Ontario Reliability Compliance Program - Requirements Form - NERC Reliability Standard: PER-002-0 Operating Personnel Training	IESO_FORM_1760	Form is used to self-certify that each Transmission Operator and Balancing Authority provides personnel with a coordinated training program that will ensure reliable system operation.	2.0
Reliability Compliance	NERC Reliability Standard PRC-005-1a 'Transmission and Generation Protection System Maintenance and Testing'	IESO_FORM_1761	'Testing' is used to self-certify compliance with the NERC standard to ensure all transmission and generation protection systems affecting the reliability of the Bulk Electric System (BES) are maintained and tested	5.0
Reliability Compliance	NERC Reliability Standard PRC-008-0 'Implementation and Documentation of Underfrequency Load Shedding Equipment Maintenance Program'	IESO_FORM_1762	Form is used to self-certify compliance with the NERC standard to provide last resort system preservation measures by implementing an underfrequency load shedding program	2.0
Reliability Compliance	NERC Reliability Standard PRC-011-0 Undervoltage Load Shedding System Maintenance and Testing	IESO_FORM_1763	Form is used to self-certify compliance with the NERC standard to provide system preservation measures in an attempt to prevent system voltage collapse or voltage instability by implementing an undervoltage load shedding program	2.0
Reliability Compliance	NERC Reliability Standard: TOP-004-2 Transmission Operations	IESO_FORM_1764	Form is used to self-certify compliance with the NERC standard to ensure that the transmission system is operated so that instability, uncontrolled separation, or cascading outages will not occur as a result of the most severe single contingency and specified multiple contingencies.	2.0

Master Document	Document Title	Document Number	Document Description	Issue
Reliability Compliance	NERC Reliability Standard VAR-001-1 and VAR-001-2 Voltage and Reactive Control	IESO_FORM_1765	Form is used to self-certify compliance with the NERC standard to ensure that voltage levels, reactive flows, and reactive resources are monitored, controlled and maintained within limits in real time.	3.0
Reliability Compliance	Ontario Reliability Compliance Program - Requirement Form - NERC Reliability Standard: MOD-010-0 Steady-State Data for Modeling and Simulation of the Interconnected Transmission System	IESO_FORM_1766	Steady-State Data for Modeling and Simulation of the Interconnected Transmission System is used to self-certify compliance with the NERC standard to establish consistent data requirements, reporting procedures, and system models to be used in the analysis of the reliability of the interconnected transmission system.	2.0
Reliability Compliance	Ontario Reliability Compliance Program - Requirements Form - NERC Reliability Standard: MOD-012-0 Dynamics Data for Modeling and Simulation of the Interconnected Transmission System	IESO_FORM_1767	Dynamics Data for Modeling and Simulation of the Interconnected Transmission System is used to self-certify compliance with the NERC standard to establish consistent data requirements, reporting procedures, and system models to be used in the analysis of the reliability of the interconnected transmission system.	2.0
Reliability Compliance	Ontario Reliability Compliance Program - Requirements Form - NERC Reliability Standard: PRC-007-0 Assuring Consistency of Entity Underfrequency Load Shedding Programs with Regional Reliability Organization's Underfrequency Load Shedding Program Requirements	IESO_FORM_1768	Underfrequency Load Shedding Programs with Regional Reliability Organization's Underfrequency Load Shedding Program Requirements is used to self-certify compliance with the NERC requirement to provide last resort system preservation measure by implementing an Under Frequency Load Shedding Program.	2.0
Reliability Compliance	Ontario Reliability Compliance Program - Requirements Form - NERC Reliability Standard: PRC-021-1 Under-Voltage Load Shedding Program Data	IESO_FORM_1769	Under-Voltage Load Shedding Program Data is used to self-certify compliance with the NERC requirement to ensure data is provided to support the regional database maintained for Under-Voltage Load Shedding programs.	2.0
Settlements Forms and Agreements	Annual Review of Price Basis for Determining Prudential Support Obligations.	IESO_GDE_0228	Annual review of price basis for determining <i>prudential support</i> obligations, April of each year.	9.0
Technical Interface Document	XML A Quick Primer	IESO_GDL_0028	This document provides participants with a simple overview of XML Reporting	3.0
Technical Interface Document	Market Participant Client Platform Information Technology Standard	IESO_ISTD_0017	This document is intended to clearly and concisely present the standards and guidelines for the upgrade of the Market Participant Client Platform	2.0
Information Bulletin	Maintaining the Reliability of Supply to Customer Connections	IESO_MKRI_0002	This interpretation bulletin has two purposes. The first is to define more clearly the <i>IESO's</i> obligations with respect to maintaining the reliability of supply to customers directly connected to the <i>IESO-controlled grid</i> . The second is to provide notice to market participants of a change in the <i>IESO's</i> practices.	1.0
Technical Interface Document	Meter Trouble Report Data Transfer for Metered Market Participant	IESO_REP_0259	Report description for MTR Data Transfer for MMP	1.0
Technical Interface Document	Meter Trouble Report Data Transfer for Metered Market Participant Refresh Version	IESO_REP_0260	Report description for MTR Data Transfer for MMP - monthly	1.0
Technical Interface Document	Meter Trouble Report Data Transfer for Meter Service Provider	IESO_REP_0261	Report description for MTR Data Transfer for MSP	1.0

Master Document	Document Title	Document Number	Document Description	Issue
Technical Interface Document	Meter Trouble Report Data Transfer for Meter Service Provider Refresh Version	IESO_REP_0262	Report description for MTR Data Transfer for MSP - monthly	1.0
Technical Interface Document	5-MIN Dispatch Constrained Energy Market Information Report	IESO_TPL_0033	This 5-Minute Dispatch Schedule Report contains preliminary information on facility dispatch schedules for a particular 5-minute scheduling interval. These schedules are subject to change.	1.0
Technical Interface Document	5-MIN Dispatch Constrained Operating Reserve market Information Report	IESO_TPL_0034	Addition of DACP information	1.0
Technical Interface Document	Hourly Dispatch Constrained Energy Market Information Report	IESO_TPL_0035	Addition of DACP information	1.0
Technical Interface Document	Hourly Dispatch Constrained Operating Reserve Market Information Report	IESO_TPL_0036	Addition of DACP information	1.0
Technical Interface Document	Hourly RTEM Predispatch Totals Information Report	IESO_TPL_0045	Hourly RTEM Predispatch Totals Information Report	1.0
Technical Interface Document	Hourly RTEM Dispatch Totals Information Report	IESO_TPL_0046	Hourly RTEM Dispatch Totals Information Report	1.0
Technical Interface Document	SAA Daily Notes and Limitations Report	IESO_TPL_0087	Report is used to post Daily System Adequacy and Assessment Report Transmission Flowgate Limitations, System Advisories and SAA Notes data.	3.0
Technical Interface Document	Weekly SAA Report Template	IESO_TPL_0088	Report is used to post Weekly System Adequacy & Assessment Report data for public information.	4.0
Market Administration Forms and Agreements	BES Exception Cost Recovery Agreement	IESO_TPL_0090	This agreement describes the means for market participants to apply for 'Bulk Electric System (BES) Exceptions'.	1.0
Settlements Forms and Agreements	Affidavit Regarding Reduction in Prudential Support Obligations	IMO_AFF_0001	Affidavit Regarding Reduction in Prudential Support Obligations	2.0
Metering Forms and Agreements	Metering Service Provider Agreement	IMO_AGR_0012	This Application form will enable <i>Metering Service Providers</i> to register with the <i>IESO</i> .	11.0
Settlements Forms and Agreements	Pledge of Cash and Treasury Bills Agreement	IMO_AGR_0013	Pledge of Cash and Treasury Bills Agreement	3.0
Market Administration Forms and Agreements	Notice of Dispute	IMO_FORM_1001	Notice of Dispute form	10.00
Market Administration Forms and Agreements	Response to a Notice of Dispute	IMO_FORM_1002	Response to a Notice of Dispute form	7.0
Market Entry Forms and Agreements	IEEE TYPE DC2 Excitation System	IMO_FORM_1014	IEEE TYPE DC2 Excitation System form	1.3
Metering Forms and Agreements	Alternative Metering Installation Standard Checklist	IMO_FORM_1042	Alternative Metering Installation Standard Checklist form	8.0
Metering Forms and Agreements	Conceptual Drawing Review Submission Form	IMO_FORM_1043	Conceptual Drawing Review Submission form	8.0
Market Administration Forms and Agreements	Technical Feasibility Study Application-Load Facilities	IMO_FORM_1052	Connection Load Facilities form	8.0
Market Administration	Notification of Withdrawal	IMO_FORM_1073	Notification of Withdrawal form	7.0

<b>Master Document</b>	<b>Document Title</b>	<b>Document Number</b>	<b>Document Description</b>	<b>Issue</b>
Forms and Agreements				
Market Administration Forms and Agreements	Market Rule Amendment Proposal	IMO_FORM_1087	Market Rule Amendment Proposal form	11.0
Market Administration Forms and Agreements	Dispute Resolution Form for Written Submissions for Arbitration	IMO_FORM_1090	Dispute Resolution form for Written Submissions for Arbitration	4.0
Market Administration Forms and Agreements	Dispute Resolution Form for Written Reply Submissions for Arbitration	IMO_FORM_1091	This form is intended to be used to file written reply submissions and other materials to the extent that the submissions and other materials of another party raise new facts and/or issues that could therefore not have been addressed in the earlier submissions or materials filed by the replying party.	4.0
Market Administration Forms and Agreements	Dispute Resolution Leave to Intervene	IMO_FORM_1092	Dispute Resolution Leave to Intervene form	4.0
Market Administration Forms and Agreements	Invoice for Costs of the Mediation or Cost of the Arbitration	IMO_FORM_1094	Invoice for Costs of the Mediation or Costs of the Arbitration form	7.0
Market Administration Forms and Agreements	Notice of Intent to Dispense with Mediation	IMO_FORM_1095	Used when the parties to the dispute have agreed to dispense with mediation in respect of the dispute and to proceed to arbitration.	6.0
Market Administration Forms and Agreements	Notice of Termination of Mediation	IMO_FORM_1097	Notice of Termination of Mediation form	7.0
Market Administration Forms and Agreements	IEEE Type 2 Excitation System	IMO_FORM_1108	IEEE Type 2 Excitation System form	1.3
Market Administration Forms and Agreements	IEEE Standard Governor	IMO_FORM_1109	IEEE Standard Governor form	1.3
Market Administration Forms and Agreements	Modified IEEE Type 1 Excitation System	IMO_FORM_1110	Modified IEEE Type 1 Excitation System form	1.3
Market Administration Forms and Agreements	Simplified Excitation System	IMO_FORM_1112	Simplified Excitation System form	1.3
Settlements Forms and Agreements	Notification of Prepayment	IMO_FORM_1130	Notification of Prepayment form	5.0
Market Administration Forms and Agreements	Technical Feasibility Study Application - Ancillary Service Facilities	IMO_FORM_1174	Ancillary Services Facilities form	7.0
Metering Forms and Agreements	Conforming Meter List Application	IMO_FORM_1179	Conforming Meter List Application form	6.0

Master Document	Document Title	Document Number	Document Description	Issue
Market Administration Forms and Agreements	Generator Information Submittal Form for Reliability Assessments	IMO_FORM_1230	Generator Information Submittal Form for Reliability Assessments	11.0
Market Entry Forms and Agreements	Application for Withdrawal	IMO_FORM_1242	Application for Withdrawal form	7.0
Market Entry Forms and Agreements	Non-Compliance Event Report	IMO_FORM_1253	Non-Compliance Event Report	6.0
Metering Forms and Agreements	Confirmation of Engineering Unit Report (Type A)	IMO_FORM_1293	Confirmation of Engineering Unit Report (Type A) form	5.0
System Operations	Outage Requirement Letter	IMO_FORM_1296	Outage Requirement Letter	3.0
Metering Forms and Agreements	Totalization Table Form	IMO_FORM_1310	Totalization Table Form	5.0
Market Administration Forms and Agreements	Market Participant Request/Comments for Amendment to the Market Surveillance Data Catalogue	IMO_FORM_1321	Market Participant Request for Market Surveillance Data/Information form	3.0
System Operations Forms and Agreements	Request for Outage Compensation	IMO_FORM_1350	This form is to be completed when claiming compensation for an <i>outage</i> that was revoked or recalled by the <i>IESO</i> .	6.0
Metering Forms and Agreements	Change of MSP Registration Details	IMO_FORM_1358	Change of MSP Registration Details form	5.0
Market Operations Forms and Agreements	TR Auction Information	IMO_FORM_1361	This form is used by <i>Market participants</i> to supply the <i>IESO</i> with <i>TR</i> deposit information.	6.0
Market Operations Forms and Agreements	Notice of Revocation of Transmission Rights	IMO_FORM_1374	Notice of Revocation of Transmission Rights form	6.0
Market Administration Forms and Agreements	Technical Feasibility Study Application- Generation Facilities	IMO_FORM_1380	Supporting Documentation- Generation Facilities form	7.0
Market Administration Forms and Agreements	Technical Feasibility Study Application- Transmissions Facilities	IMO_FORM_1381	Transmission Facilities form	8.0
Metering Forms and Agreements	Assigning a Metering Service Provider to a Meter Point	IMO_FORM_1390	Assigning a Metering Service Provider to a Meter Point form	6.0
Market Operations Forms and Agreements	Additional Compensation During Administrative Pricing	IMO_FORM_1398	Market Suspension Additional Credit form	6.0
Market Administration Forms and Agreements	Project Status Report	IMO_FORM_1399	Project Status Report	8.0
Metering Forms and Agreements	Meter Read Reconciliation Form and Instrument Transformer Spot Check Form	IMO_FORM_1411	Meter Read Reconciliation Form and Instrument Transformer Spot Check Form	4.0
Market Administration Forms and	Exemption Application	IMO_FORM_1413	Exemption Application form	6.0

<b>Master Document</b>	<b>Document Title</b>	<b>Document Number</b>	<b>Document Description</b>	<b>Issue</b>
Agreements				
Market Administration Forms and Agreements	Exemption Applicant Comments on IESO Staff Recommendation	IMO_FORM_1415	Exemption Applicant Comments on IESO Staff Recommendation form	4.0
Market Administration Forms and Agreements	Exemption Applicant or IESO Staff Comments on Third Party Submission	IMO_FORM_1418	Exemption Applicant or IESO Staff Comments on Third Party Submission form	5.0
Settlements Forms and Agreements	Application for Designation of a Facility for Generation Station Service Rebate	IMO_FORM_1419	Application for Designation of a Facility for Generation Station Service Rebate form	4.0
Settlements Forms and Agreements	Reduced Debt Retirement Charge (DRC) Certification	IMO_FORM_1438	Reduced Debt Retirement Charge (DRC) Certification	3.0
Market Administration Forms and Agreements	Response to Notice of Possible Local Market Power Abuse	IMO_FORM_1440	Response to Notice of Possible Local Market Power Abuse form	5.0
Market Administration Forms and Agreements	Request for a Local Market Power Inquiry	IMO_FORM_1442	Request for a Local Market Power Inquiry form	5.0
Market Administration Forms and Agreements	Response to a Crossclaim or Counterclaim	IMO_FORM_1446	Response to a Crossclaim or Counterclaim form	7.0
Market Administration Forms and Agreements	Data Catalogue Submission Form	IMO_FORM_1449	Data Catalogue Submission Form	3.0
Market Administration Forms and Agreements	Submission of Information Requested for a Local Market Power Inquiry	IMO_FORM_1455	Submission of Information Requested for a Local Market Power Inquiry form	4.0
Metering Forms and Agreements	Notification of Power Switching Form	IMO_FORM_1464	Notification of Power Switching Form	4.0
Market Administration Forms and Agreements	Market Rule Amendment Submission	IMO_FORM_1466	Market Rule Amendment Submission form	8.0
Market Administration Forms and Agreements	Market Rule Amendment Written Submission	IMO_FORM_1467	Market Rule Amendment Written Submission form	7.0
Metering Forms and Agreements	Acceptance Letter for Conforming Meter List	IMO_FORM_1470	Acceptance Letter for Conforming Meter List	3.0
Market Administration Forms and Agreements	Market Rule Interpretation Bulletin	IMO_FORM_1473	Market Rule Interpretation Bulletin	5.0
Market Administration Forms and Agreements	Plans for New, Modified or Retiring Transmission Facilities Information Submittal Form for 18-Month Outlook	IMO_FORM_1484	Plans for New, or Modified or Retiring Transmission Facilities Information Submittal Form for 18-Month Outlook	9.0

Master Document	Document Title	Document Number	Document Description	Issue
Metering Forms and Agreements	MIRT Update Form	IMO_FORM_1488	MIRT Update Form	3.0
Market Administration Forms and Agreements	Plans for New, Modified or Retiring Transmission Facilities Information Submittal Form for Reliability Assessments	IMO_FORM_1494	Plans for New, Modified or Retiring Transmission Facilities Information Submittal Form for Reliability Assessments	9.0
Market Entry Forms and Agreements	Declaration of Designated Consumer	IMO_FORM_1507	Declaration of Designated <i>Consumer</i> form	7.0
Reliability Compliance	IESO Reliability Compliance Program - Certification Form - Section 5.2 - Under Frequency Load Shedding Program - Eastern Interconnection specific Requirements	IMO_FORM_1518	Automatic Under Frequency Load Shedding - Eastern Interconnection specific Requirements	7.0
Reliability Compliance	IESO Reliability Compliance Program Certification Form Standard Authority Template: NPCC RCEP A4-1 ICG Protection System Maintenance	IMO_FORM_1521	Protection System Maintenance	4.0
Reliability Compliance	Ontario Reliability Compliance Program Requirements Form - NERC Reliability Standard: PRC-004-1 and PRC-004-1a Analysis and Mitigation of Transmission and Generation Protection System Misoperations	IMO_FORM_1522	Analysis and Mitigation of Transmission and Generation Protection System Misoperations is used to self-certify compliance with the NERC standard to ensure all transmission and generation Protection System Misoperations affecting the reliability of the Bulk Electric System (BES) are analyzed and mitigated.	10.0
Reliability Compliance	NERC Standard PRC-004 – Analysis and Mitigation of Transmission and Generation Protection System Misoperations Reporting Form	IMO_FORM_1523	Analysis and Mitigation of Transmission and Generation Protection System Misoperations Reporting Form	1.0
Reliability Compliance	IMO Reliability Compliance Program Certification Form Standard Authority Template: I.F.S1.M2 - Disturbance Monitoring Equipment	IMO_FORM_1524	Disturbance Monitoring Equipment	1.0
Reliability Compliance	Ontario Reliability Compliance Program Requirements Form - NERC Reliability Standard: FAC-003-1 Transmission Vegetation Management Program	IMO_FORM_1526	Form is used to self-certify compliance with the NERC standard to prevent outages from vegetation on transmission rights-of-way, minimize outages from vegetation adjacent to rights-of-way, maintaining clearances between transmission lines and vegetation, and reporting vegetation-related outages.	5.0
Reliability Compliance	Ontario Reliability Compliance Program – Reporting Form NERC Reliability Standard: FAC-003-1 Vegetation Contact Incidents	IMO_FORM_1527	Certification Exception Form for Vegetation Contact Incidents - form can be used to report vegetation-related transmission outages.	5.0
Reliability Compliance	IESO Reliability Compliance Program - Certification Form NERC Reliability Standard PRC-016-0 SPS ( Operation Performance	IMO_FORM_1531	SPS Operation Performance	5.0
Reliability Compliance	Ontario Reliability Compliance Program - Requirements Form NERC Reliability Standard: EOP-009-0, Documentation of Blackstart Generating Unit Test Results	IMO_FORM_1532	Documentation of Black Start Generating Unit Test Results is used to self-certify compliance with the NERC requirement to ensure that the quantity and location of system Blackstart generators are sufficient and that they can perform their expected Restoration Plans.	5.0
Market Administration Forms and	System Impact Assessment Application (IESO)/ Customer Impact Assessment Application (Hydro One) for Generation Facilities	IMO_FORM_1536	System Impact Assessment Application - Generation Facilities form	12.0

Master Document	Document Title	Document Number	Document Description	Issue
Agreements				
Market Administration Forms and Agreements	System Impact Assessment Application - Transmission Facilities	IMO_FORM_1537	System Impact Assessment Application - Transmission Facilities form	9.0
Market Administration Forms and Agreements	System Impact Assessment Application (IESO)/Customer Impact Assessment Application (Hydro One) for Load Facilities	IMO_FORM_1538	System Impact Assessment Application - Load Facilities form	11.0
Market Administration Forms and Agreements	System Impact Assessment Application - Ancillary Service Facilities	IMO_FORM_1539	System Impact Assessment Application - Ancillary Service Facilities form	6.0
Market Entry Forms and Agreements	Transmission Rights Certification as an Eligible Commercial Entity	IMO_FORM_1540	Transmission Rights Certification as an Eligible Commercial Entity form	7.0
Market Entry Forms and Agreements	OEB Generator Reporting and Record Requirements Consent Form - Generator Consent	IMO_FORM_1541	OEB Generator and Record Keeping Requirements Consent Form	5.0
Settlements Forms and Agreements	Administrative Pricing Event Correction	IMO_FORM_1549	Administrative Pricing Event Correction- form	7.0
Settlements Forms and Agreements	Application for Registering as Cogeneration Facility	IMO_FORM_1553	Application for Registering as Cogeneration Facility- form	2.0
Metering Forms and Agreements	Embedded Generation Facility - Not a Metered Market Participant	IMO_FORM_1563	Embedded Generation Facility - Not a Metered Market Participant	4.0
Reliability Compliance	Ontario Reliability Compliance Program - Requirements Form NERC Reliability Standard: VAR-002-1.1b Generator Operation for Maintaining Network Voltage	IMO_FORM_1571	Generator Operation for Maintaining Network Voltage is used to self-certify compliance with NERC requirements for generators to provide reactive and voltage control necessary to ensure that voltage levels, reactive flows, and reactive resources are maintained within applicable Facility Ratings to protect equipment and the reliable operation of the interconnection.	8.0
Metering Forms and Agreements	Non Compliance Self Report Form for Metered Market Participants	IMO_FORM_1591	Non Compliance Self Report Form for Metered Market Participants	2.0
System Operations	Market Participant Emergency Plan Guidelines & Requirements	IMO_GDE_0001	This document assists market participants in the preparation of their emergency preparedness plans (EPPs) and to meet the requirements outlined in the <i>Market Rules</i> .	3.0
Technical Interface Document	Transmission Rights Auction System Participant's Guide	IMO_GDE_0004	This document provides a description of the functionality of the IESO's Transmission Rights Auction System which is available to those <i>market participants</i> who have registered to be <i>participants</i> .	7.0
Market Operations Forms and Agreements	Guidelines for Additional Compensation During Market Suspension	IMO_GDL_0025	This document is to be used to evaluate requests for additional compensation for hours where administrative pricing has been applied	3.0
Settlements Forms and Agreements	List of Schedule Banks to the Bank Act, s.c. 1991, c.46	IMO_LST_0001	List of Financial Institutions Eligible to Provide <i>Prudential Support</i>	8.0
Information Bulletin	Compliance with Dispatch Instructions Issued to Dispatchable Facilities	IMO_MKRI_0001	This interpretation bulletin clarifies how the IESO assesses compliance with <i>dispatch</i> instructions and what are legitimate reasons for not complying	6.1

Master Document	Document Title	Document Number	Document Description	Issue
			with <i>dispatch instructions</i> .	
Settlements Forms and Agreements	List of Resources for Physical Bilateral Contracts	IMO_PBCL_0001	Provides MPs with an updated list of resources.	19.0
Market Administration Forms and Agreements	Ontario Resource and Transmission Assessment Criteria	IMO_REQ_0041	This document is to be used to evaluate long-term system <i>adequacy</i> and <i>connection assessments</i>	5.0
Technical Interface Document	Multi Interval Optimization Functional Requirements	IMO_REQ_0048	This document defines the functional requirements and current settings for Multi-Interval Optimization	3.0
Technical Interface Document	Outbound Automated Document Application Programming Interface	IMO_SPEC_0100	This document presents and describes the application programming interface (API) for automating retrieval of XML reports published by the <i>IESO</i>	7.0
Market Administration Forms and Agreements	Local Market Power Mitigation - Price Screen Duration Factors	IMO_STD_0007	The price screens used for Local Market Power Mitigation of Appendix 7.6 of <i>market rules</i> require certain factors to be specified by the <i>IESO</i> . This document identifies these factors.	1.0
Technical Interface Document	Predispatch Security Constraints Report	IMO_TPL_0017	This report contains a list of binding security constraints for the predispatch run of the algorithm	1.0
Technical Interface Document	Dispatch Security Constraints Report	IMO_TPL_0018	This report contains a list of binding security constraints for the dispatch run of the algorithm, for each 5 minute interval of the hour.	1.0
Technical Interface Document	SSR Hourly Data Template	IMO_TPL_0022	This specification has the description & examples of the changes in structure and content to the System Status Reports and MIM System Messages for SSRs and SAA Reports.	3.0
Technical Interface Document	SSR Daily Notes & Limitations Report Template	IMO_TPL_0023	SSR Daily Notes & Limitations Report Template	3.0
Market Administration Forms and Agreements	Technical Feasibility Study Agreement	IMP_AGR_0001	Agreement between <i>IESO</i> and <i>Connection Applicant</i> .	10.0
Market Administration Forms and Agreements	System Impact Agreement	IMP_AGR_0002	System Impact Agreement	14.0
Market Administration Forms and Agreements	Confidentiality Agreement Mediation	IMP_AGR_0005	Confidentiality Agreement Mediation- Agreement between Market participant and the <i>IESO</i> .	3.0
Technical Interface Document	Meter Trouble Report - A Guide for Market Participants	IMP_GDE_0098	This document is a guide for <i>market participants</i> to the use of the Meter Trouble Report workflow application.	6.0
Technical Interface Document	The Applications Status Tool - A Users Guide	IMP_GDE_0103	This document is a guide to the use of the Applications Status tool for <i>IESO</i> interactive workflow applications.	8.0
Settlements Forms and Agreements	Prudential Guarantee	IMP_GRNT_0001	Prudential Guarantee	7.0

Master Document	Document Title	Document Number	Document Description	Issue
Settlements Forms and Agreements	IESO Charge Types and Equations	IMP_LST_0001	This document enumerates the various <i>charge types</i> and equations used in the <i>IESO settlements</i> process for <i>IESO-Administered markets</i> which are subject to a functional deferral and those that are NOT subject to a functional deferral.	68.0
Technical Interface Document	Web Based Message Exchange Market Participant's Guide	IMP_MAN_0031	Web Based Message Exchange Market Participant's Guide	6.0
Technical Interface Document	Transmission Tariff Peak System Demand Data Report	IMP_REP_0016	The Peak System <i>Demand</i> Data Report provides the sum of the hourly measurements across all <i>delivery points</i> defined for transmission network charges for each Trading Date / Hour in the reporting month.	5.0
Technical Interface Document	The Market Participant Invoice Report Format	IMP_REP_0049	This Technical Interface document describes the format of <i>market participants invoices</i> .	7.0
Settlements Forms and Agreements	Format Specifications for Settlement Statement Files and Data Files	IMP_SPEC_0005	This Technical Interface document describes the format of <i>settlement</i> statement files and supporting data files.	51.0
Settlements Forms and Agreements	File Format Specification for Participant Transmission Tariff Data Files	IMP_SPEC_0006	This file format specification deals with the data file that a <i>Transmission Customer</i> may use for the purpose of validating the <i>energy</i> measurements used by the <i>IESO</i> to determine transmission tariff billing <i>demands</i> .	5.0
Technical Interface Document	File Format Specification for Transmitter Transmission Tariff Data File	IMP_SPEC_0007	This file format specification deals with the data file that the Ontario <i>transmitters</i> may use for the purpose of validating the <i>energy</i> measurements used by the <i>IESO</i> to determine transmission tariff billing <i>demands</i> .	6.0
Technical Interface Document	File Format Specification for Transmitter Reconciliation Data File	IMP_SPEC_0008	This file format specification deals with the data file required to enable the Ontario <i>transmitters</i> to reconcile the bases for transmission tariff credits.	6.0
Market Entry Forms and Agreements	Participation Amending Agreement	IMP_TPL_0006	This document is a template for amending the Participant Agreement.	5.0
Market Entry Forms and Agreements	Participation Agreement	MDP_AGR_0012	Participation Agreement	16.0
Rules and Manuals	Guide to Documents Published in Baseline	MDP_GDE_0002	This guide details the documents published in the current baseline.	84.0
Metering Forms and Agreements	Wholesale Revenue Metering Standard - Hardware	MDP_STD_0004	This standard provides the principles, accountabilities, and requirements for <i>metering installations</i> used for <i>settlement</i> in the <i>IESO-administered</i> wholesale market.	12.0
Metering Forms and Agreements	Site-Specific Loss Adjustments: Requirements for Adjustment of Meter Readings for Site-Specific Losses in the IMO-Administered Market	MDP_STD_0005	This standard provides the principles, accountabilities, and requirements for site-specific loss adjustments used for <i>settlement</i> in the <i>IESO-administered</i> wholesale market.	4.0
Metering Forms and Agreements	Application Form for the Registration of Metering Service Providers in the IESO-Administered Market	MSP_TPL_0001	This Application Form will enable <i>Metering Service Providers</i> to register with the <i>IESO</i> to operate in the Wholesale Electricity Market	5.0
Technical Interface Document	OCSS Web Service Design Specification	SPEC-113	This document provides the design specification for Outage Coordination and Scheduling System (OCSS) web service.	1.0

<b>Master Document</b>	<b>Document Title</b>	<b>Document Number</b>	<b>Document Description</b>	<b>Issue</b>
Technical Interface Document	File Format Specification for Demand Response Data Files	SPEC-142	This file format specification deals with the data file Report that a DR Customer may receive.	1.0
Technical Interface Document	Dispatch Service Web Service Design Specifications	SPEC-154	This Design Specification provides market participants with details of the Dispatch Service Web Service and all its operations. The Dispatch Service Web Service can be used by the market participant for retrieving and responding to Dispatch Instructions from the IESO.	2.0
Technical Interface Document	Dispatch Notification Service Web Service Design Specifications	SPEC-155	This design specification provides market participants with the details of the Dispatch Notification Service Web Service and all its operations. The Dispatch Notification Service system is hosted by the market participant for receiving automated push notifications of new Dispatch Instructions from the IESO.	1.1
Technical Interface Document	Automated Documents	STD-2	This document defines the standards applicable to the production of IESO automated documents.	2.0
Market Administration Forms and Agreements	Asset Transfer Agreement	TPL-3	Asset Transfer Agreement	5.0
Technical Interface Document	Daily SAA Hourly Data Template	TPL-27	Daily SAA Hourly Data Template	4.0
Market Administration Forms and Agreements	Scope of Work for Renewable Generation Facilities	TPL-40	Scope of Work for Renewable Generation Facilities	1.0
Market Administration Forms and Agreements	Scope of Work for Load and Non-Renewable Generation Facilities	TPL-41	Scope of Work for Load and Non-Renewable Generation Facilities	1.0

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